

MEMORANDUM

TO: File No. SR-NYSEArca-2013-42

FROM: Johnna Dumler, Division of Trading and Markets

DATE: September 19, 2013

SUBJECT: Telephone call with Exchange Representative, SIFMA and The Options Clearing Corporation

On September 18, 2013, Division of Trading and Markets staff (Tyler Raimo and Johnna Dumler) attended a telephone call with representatives of the following: BATS Exchange, Inc.; BOX Options Exchange LLC; International Securities Exchange, LLC; Miami International Securities Exchange, LLC; NADAQ OMX, BX, Inc.; NASDAQ OMX PHLX, Inc.; The NASDAQ Stock Market LLC; NYSE MKT, LLC; NYSE Arca, Inc.; Topaz Exchange, LLC; Securities Industry and Financial Markets Association; and The Options Clearing Corporation regarding the options Penny Pilot Program.