

MEMORANDUM

TO: File No. SR-NYSEArca-2013-42

FROM: Johnna Dumler, Division of Trading and Markets

DATE: November 13, 2013

SUBJECT: Telephone call with Exchange Representative, SIFMA and The Options Clearing Corporation

On November 13, 2013, Division of Trading and Markets staff (Tyler Raimo, Jennifer Colihan and Johnna Dumler) and Division of Economic and Risk Analysis staff (Juan Echeverri) attended a telephone call with representatives of the following: BATS Exchange, Inc.; BOX Options Exchange LLC; Chicago Board Options Exchange, Incorporated; International Securities Exchange, LLC; Miami International Securities Exchange, LLC; NADAQ OMX, BX, Inc.; NASDAQ OMX PHLX, Inc.; The NASDAQ Stock Market LLC; NYSE MKT, LLC; NYSE Arca, Inc.; Topaz Exchange, LLC; Securities Industry and Financial Markets Association; and The Options Clearing Corporation regarding the options Penny Pilot Program.