



Martha Redding
Associate General Counsel
Assistant Secretary

September 30, 2021

VIA E-MAIL

Secretary
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549-1090

Re: Securities Exchange Act Rel. 34-91034 (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01)

Dear Secretary:

NYSE Arca, Inc. filed the attached Partial Amendments No. 1 to the above-referenced filings on September 14, 2021.

Sincerely,

A handwritten signature in blue ink, appearing to be "33" or a stylized "J" with a dot above it.

Encl. (Partial Amendments No. 1s to SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01)

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 7

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
Form 19b-4

F e No. * SR 2021 * 05

Amendment No. (req. for Amendments *) 1

F ng by New York Stock Exchange LLC

Pursuant to Ru e 19b 4 under the Secur t es Exchange Act of 1934

Int a *	Amendment *	W thdrawa	Sect on 19(b)(2) *	Sect on 19(b)(3)(A) *	Sect on 19(b)(3)(B) *
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P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *	Ru e		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/> 19b 4(f)(1)	<input type="checkbox"/> 19b 4(f)(4)	
			<input type="checkbox"/> 19b 4(f)(2)	<input type="checkbox"/> 19b 4(f)(5)	
			<input type="checkbox"/> 19b 4(f)(3)	<input type="checkbox"/> 19b 4(f)(6)	

Not ce of proposed change pursuant to the Payment, C ear ng, and Sett ement Act of 2010

Sect on 806(e)(1) *

☐

Sect on 806(e)(2) *

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Secur ty Based Swap Subm ss on pursuant to the Secur t es Exchange Act of 1934

Sect on 3C(b)(2) *

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Exh b t 2 Sent As Paper Document

☐

Exh b t 3 Sent As Paper Document

☐

Description

Prov de a br ef descr pt on of the act on (m t 250 characters, requ red when Int a s checked *).

Contact Information

Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.

F rst Name *	Sarah	Last Name *	Zg n ec
T te *	Sen or Course		
E ma *	<input type="text"/>		
Te ephone *	<input type="text"/>	Fax	<input type="text"/>

Signature

Pursuant to the requ rements of the Secur t es Exchange of 1934, New York Stock Exchange LLC has duty caused th s f ng to be s gned on ts beha f by the unders gned thereunto duty author zed.

Date 09/14/2021

(tle *)

By Clare Saperste n

(Name *)

Assoc ate Genera Course

Clare
Saperstein

Digitally signed by Clare
Saperstein
Date 2021 09 14 16 32 48
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NO E Clicking the signature block at right will initiate digitally signing the orm. A digital signature is as legally binding as a physical signature and once signed this orm cannot be changed.

Required fields are shown with yellow backgrounds and astericks.

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549		
For complete Form 19b-4 instructions please refer to the EFFS website.		
<div>Form 19b-4 Information *</div> <div><div>AddRemoveView</div><div></div></div>	<p>The self regulatory organization must provide a required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposals consistent with the Act and applicable rules and regulations under the Act.</p>	
<div>Exhibit 1 - Notice of Proposed Rule Change *</div> <div><div>AddRemoveView</div><div></div></div>	<p>The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).</p>	
<div>Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies *</div> <div><div>AddRemoveView</div><div></div></div>	<p>The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).</p>	
<div>Exhibit 2- Notices, Written Comments, Transcripts, Other Communications</div> <div><div>AddRemoveView</div><div></div></div>	<p>Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.</p> <div><input type="checkbox"/> Exhibit Sent As Paper Document</div>	
<div>Exhibit 3 - Form, Report, or Questionnaire</div> <div><div>AddRemoveView</div><div></div></div>	<p>Copies of any form, report, or questionnaire that the self regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.</p> <div><input type="checkbox"/> Exhibit Sent As Paper Document</div>	
<div>Exhibit 4 - Marked Copies</div> <div><div>AddRemoveView</div><div></div></div>	<p>The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.</p>	
<div>Exhibit 5 - Proposed Rule Text</div> <div><div>AddRemoveView</div><div></div></div>	<p>The self regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing them in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.</p>	
<div>Partial Amendment</div> <div><div>AddRemoveView</div><div>SR NYSE 2021 05 Part a Am No1.doc</div><div></div></div>	<p>If the self regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made (i.e. part a amendment) clearly understandable on its face. Such part a amendment shall be clearly identified and marked to show deletions and additions.</p>	

SR-NYSE-2021-05, Partial Amendment No. 1

New York Stock Exchange LLC (“NYSE” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”), in connection with the proposed rule change to amend the Exchange’s Price List related to co-location services to add two Partial Cabinet Solution (“PCS”) bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge (“MRC”) for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021.¹ In March 2021, the Securities and Exchange Commission (“Commission”) issued a notice designating a longer period to consider the Filing.² The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing,³ and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.⁴

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

¹ The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91034 (February 1, 2021), 86 FR 8443 (February 5, 2021) (SR-NYSE-2021-05). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

² See Securities Exchange Act Release No. 91357 (March 18, 2021), 86 FR 15732 (March 24, 2021) (SR-NYSE-2021-05).

³ See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁴ See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8443, supra note 1, at 8445.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	<u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u>	<u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u> <ul style="list-style-type: none"> <u>For Users that order on or before December 31, [2021]<u>2022</u>: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> <u>For Users that order after December 31, [2021]<u>2022</u>: \$18,000 monthly</u>

<p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>
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3. The Exchange proposes to amend the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the table on pages 28 and 29 of the Exhibit 5:

The Exchange proposes to change “2021” to “2022” in the table on pages 28 and 29 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
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* * * * *

<p>Partial Cabinet Solution bundles</p> <p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."</p>	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
	<p><u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>
	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>

All other representations in the Filing remain as stated therein and no other changes are being made.

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 7

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
Form 19b-4

F e No. * SR 2021 * 04

Amendment No. (req. for Amendments *) 1

F ng by NYSE Amer can LLC

Pursuant to Ru e 19b 4 under the Secur t es Exchange Act of 1934

Int a *	Amendment *	W thdrawa	Sect on 19(b)(2) *	Sect on 19(b)(3)(A) *	Sect on 19(b)(3)(B) *
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			<input type="checkbox"/> 19b 4(f)(2)	<input type="checkbox"/> 19b 4(f)(5)	
			<input type="checkbox"/> 19b 4(f)(3)	<input type="checkbox"/> 19b 4(f)(6)	

Not ce of proposed change pursuant to the Payment, C ear ng, and Sett ement Act of 2010

Sect on 806(e)(1) *

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Sect on 806(e)(2) *

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Secur ty Based Swap Subm ss on pursuant to the Secur t es Exchange Act of 1934

Sect on 3C(b)(2) *

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Exh b t 2 Sent As Paper Document

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Exh b t 3 Sent As Paper Document

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Description

Prov de a br ef descr pt on of the act on (m t 250 characters, requ red when Int a s checked *).

Contact Information

Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.

F rst Name *	Sarah	Last Name *	Zg n ec
T te *	Sen or Course		
E ma *	Sarah.Zg n ec@the ce.com		
Te ephone *	(212) 656 2022	Fax	

Signature

Pursuant to the requ rements of the Secur t es Exchange of 1934, NYSE Amer can LLC has duty caused th s f ng to be s gned on ts beha f by the unders gned thereunto duty author zed.

Date 09/14/2021

(tle *)

By Clare Saperste n

(Name *)

Assoc ate Genera Course

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Saperstein

Digitally signed by Clare
Saperstein
Date 2021 09 14 16 33 24
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SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFS website.

Form 19b-4 Information *

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View

The self regulatory organization must provide a required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposals consistent with the Act and applicable rules and regulations under the Act.

Exhibit 1 - Notice of Proposed Rule Change *

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies *

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

Exhibit 2- Notices, Written Comments, Transcripts, Other Communications

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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

☐ Exhibit Sent As Paper Document

Exhibit 3 - Form, Report, or Questionnaire

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Copies of any form, report, or questionnaire that the self regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

☐ Exhibit Sent As Paper Document

Exhibit 4 - Marked Copies

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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

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The self regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change

Partial Amendment

Add

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SR NYSEAMER 2021 04 Part a Am N

If the self regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made of the filing (i.e. part a amendment) clearly understandable on its face. Such part a amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSEAMER-2021-04, Partial Amendment No. 1

NYSE American LLC (“NYSE American” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”), in connection with the proposed rule change to amend the Exchange’s NYSE American Equities Price List and Fee Schedule and the NYSE American Options Fee Schedule related to co-location services to add two Partial Cabinet Solution (“PCS”) bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge (“MRC”) for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021.¹ In March 2021, the Securities and Exchange Commission (“Commission”) issued a notice designating a longer period to consider the Filing.² The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing,³ and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.⁴

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

¹ The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91035 (February 1, 2021), 86 FR 8449 (February 5, 2021) (SR-NYSEAMER-2021-04). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

² See Securities Exchange Act Release No. 91358 (March 18, 2021), 86 FR 15732 (March 24, 2021) (SR-NYSEAMER-2021-04).

³ See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁴ See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8449, supra note 1, at 8451-8452.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	<u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u>	<u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u> <ul style="list-style-type: none"> <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>

<p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>
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3. The Exchange proposes to amend the final sentence in the third paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the third paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the fifth paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the third sentence in the fifth paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5:

The Exchange proposes to change “2021” to “2022” in the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
-----------------	-------------	------------------

* * * * *

<p>Partial Cabinet Solution bundles</p> <p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
	<p><u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>
	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>

All other representations in the Filing remain as stated therein and no other changes are being made.

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 7

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
Form 19b-4

F e No. * SR 2021 * 07

Amendment No. (req. for Amendments *) 1

F ng by NYSE Arca, Inc.

Pursuant to Ru e 19b 4 under the Secur t es Exchange Act of 1934

Int a *	Amendment *	W thdrawa	Sect on 19(b)(2) *	Sect on 19(b)(3)(A) *	Sect on 19(b)(3)(B) *
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *	Ru e		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/> 19b 4(f)(1)	<input type="checkbox"/> 19b 4(f)(4)	
			<input type="checkbox"/> 19b 4(f)(2)	<input type="checkbox"/> 19b 4(f)(5)	
			<input type="checkbox"/> 19b 4(f)(3)	<input type="checkbox"/> 19b 4(f)(6)	

Not ce of proposed change pursuant to the Payment, C ear ng, and Sett ement Act of 2010

Sect on 806(e)(1) *

☐

Sect on 806(e)(2) *

☐

Secur ty Based Swap Subm ss on pursuant to the Secur t es Exchange Act of 1934

Sect on 3C(b)(2) *

☐

Exh b t 2 Sent As Paper Document

☐

Exh b t 3 Sent As Paper Document

☐

Description

Prov de a br ef descr pt on of the act on (m t 250 characters, requ red when Int a s checked *).

Contact Information

Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.

F rst Name *	Sarah	Last Name *	Zg n ec
T te *	Sen or Course		
E ma *	Sarah.Zg n ec@the ce.com		
Te ephone *	(212) 656 2022	Fax	

Signature

Pursuant to the requ rements of the Secur t es Exchange of 1934, NYSE Arca, Inc. has duty caused th s f ng to be s gned on ts beha f by the unders gned thereunto duty author zed.

Date 09/14/2021

(tle *)

By Clare Saperste n

(Name *)

Assoc ate Genera Course

Clare
Saperstein

Digitally signed by Clare
Saperstein
Date 2021 09 14 16 34 03
-04'00'

NO E Clicking the signature block at right will initiate digitally signing the orm. A digital signature is as legally binding as a physical signature and once signed this orm cannot be changed.

Required fields are shown with yellow backgrounds and astericks.

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFS website.

Form 19b-4 Information *

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The self regulatory organization must provide a required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposals consistent with the Act and applicable rules and regulations under the Act.

Exhibit 1 - Notice of Proposed Rule Change *

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies *

Add	Remove	View
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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 2- Notices, Written Comments, Transcripts, Other Communications

Add	Remove	View
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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

☐

Exhibit Sent As Paper Document

Exhibit 3 - Form, Report, or Questionnaire

Add	Remove	View
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Copies of any form, report, or questionnaire that the self regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

☐

Exhibit Sent As Paper Document

Exhibit 4 - Marked Copies

Add	Remove	View
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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

Add	Remove	View
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The self regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing the filing (i.e. part a amendment) separately understandable provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

Partial Amendment

Add	Remove	View
<div>SR NYSEArca 2021 07 Part a Am No</div> <div></div>		

If the self regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made (i.e. part a amendment) separately understandable on its face. Such part a amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSEArca-2021-07, Partial Amendment No. 1

NYSE Arca, Inc. (“NYSE Arca” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”), in connection with the proposed rule change to amend the NYSE Arca Equities Fees and Charges and the NYSE Arca Options Fees and Charges related to co-location services to add two Partial Cabinet Solution (“PCS”) bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge (“MRC”) for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021.¹ In March 2021, the Securities and Exchange Commission (“Commission”) issued a notice designating a longer period to consider the Filing.² The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing,³ and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 6, 2021.⁴

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

¹ The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91044 (February 2, 2021), 86 FR 8662 (February 8, 2021) (SR-NYSEArca-2020-07). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

² See Securities Exchange Act Release No. 91360 (March 18, 2021), 86 FR 15764 (March 24, 2021) (SR-NYSEArca-2021-07).

³ See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁴ See Securities Exchange Act Release No. 92531 (July 30, 2021), 86 FR 92531 (August 5, 2021) (SR-NYSEArca-2021-97).

⁵ See 86 FR 8662, supra note 1, at 8664.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	<u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u>	<u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u> <ul style="list-style-type: none"> <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>

<p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>
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3. The Exchange proposes to amend the final sentence in the second paragraph on page 9 of the Filing (first paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the second paragraph on page 9 of the Filing (first paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the fourth paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the third sentence in the fourth paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5:

The Exchange proposes to change “2021” to “2022” in the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
-----------------	-------------	------------------

* * * * *

<p>Partial Cabinet Solution bundles</p> <p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
	<p><u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>
	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>

All other representations in the Filing remain as stated therein and no other changes are being made.

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 7

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
Form 19b-4

F e No. * SR 2021 * 01

Amendment No. (req. for Amendments *) 1

F ng by NYSE Ch cago, Inc.

Pursuant to Ru e 19b 4 under the Secur t es Exchange Act of 1934

Int a *	Amendment *	W thdrawa	Sect on 19(b)(2) *	Sect on 19(b)(3)(A) *	Sect on 19(b)(3)(B) *
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

Ru e

<input type="checkbox"/>	19b 4(f)(1)	<input type="checkbox"/>	19b 4(f)(4)
<input type="checkbox"/>	19b 4(f)(2)	<input type="checkbox"/>	19b 4(f)(5)
<input type="checkbox"/>	19b 4(f)(3)	<input type="checkbox"/>	19b 4(f)(6)

Not ce of proposed change pursuant to the Payment, C ear ng, and Sett ement Act of 2010

Sect on 806(e)(1) *

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Sect on 806(e)(2) *

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Secur ty Based Swap Subm ss on pursuant to the Secur t es Exchange Act of 1934

Sect on 3C(b)(2) *

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Exh b t 2 Sent As Paper Document

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Exh b t 3 Sent As Paper Document

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Description

Prov de a br ef descr pt on of the act on (m t 250 characters, requ red when Int a s checked *).

Contact Information

Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.

F rst Name *	Sarah	Last Name *	Zg n ec
T te *	Sen or Course		
E ma *	Sarah.Zg n ec@the ce.com		
Te ephone *	(212) 656 2022	Fax	

Signature

Pursuant to the requ rements of the Secur t es Exchange of 1934, NYSE Ch cago, Inc. has duty caused th s f ng to be s gned on ts beha f by the unders gned thereunto duty author zed.

Date 09/14/2021

(tle *)

By Clare Saperste n

(Name *)

Assoc ate Genera Course

Clare
Saperstein

Digitally signed by Clare
Saperstein
Date 2021 09 14 16 34 44
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NO E Clicking the signature block at right will initiate digitally signing the orm A digital signature is as legally binding as a physical signature and once signed this orm cannot be changed

Required fields are shown with yellow backgrounds and astericks.

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFS website.

Form 19b-4 Information *

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The self regulatory organization must provide a required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposals consistent with the Act and applicable rules and regulations under the Act.

Exhibit 1 - Notice of Proposed Rule Change *

Add

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies *

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 2- Notices, Written Comments, Transcripts, Other Communications

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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

☐ Exhibit Sent As Paper Document

Exhibit 3 - Form, Report, or Questionnaire

Add

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View

Copies of any form, report, or questionnaire that the self regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

☐ Exhibit Sent As Paper Document

Exhibit 4 - Marked Copies

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View

The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

Add

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View

The self regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing them in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

Partial Amendment

Add

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View

SR NYSECHX 2021 01 Part a Am No

If the self regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made of the filing (i.e. part a amendment) clearly understandable on its face. Such part a amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSECHX-2021-01, Partial Amendment No. 1

New York Stock Exchange LLC (“NYSE” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”), in connection with the proposed rule change to amend the Exchange’s Fee Schedule related to co-location services to add two Partial Cabinet Solution (“PCS”) bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge (“MRC”) for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021.¹ In March 2021, the Securities and Exchange Commission (“Commission”) issued a notice designating a longer period to consider the Filing.² The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing,³ and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.⁴

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

¹ The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91036 (February 1, 2021), 86 FR 8440 (February 5, 2021) (SR-NYSECHX-2021-01). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

² See Securities Exchange Act Release No. 91362 (March 18, 2021), 86 FR 15765 (March 24, 2021) (SR-NYSECHX-2021-01).

³ See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁴ See Securities Exchange Act Release No. (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8440, supra note 1, at 8442.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the first paragraph on page 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u>	<u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u> <ul style="list-style-type: none"> For Users that order on or before <u>December 31, [2021]2022</u>: <u>\$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> For Users that order after <u>December 31, [2021]2022</u>: <u>\$18,000 monthly</u>

<p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>
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3. The Exchange proposes to amend the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the table on pages 28 and 29 of the Exhibit 5:

The Exchange proposes to change “2021” to “2022” in the table on pages 28 and 29 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
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* * * * *

<p>Partial Cabinet Solution bundles</p> <p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."</p>	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
	<p><u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>
	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>

All other representations in the Filing remain as stated therein and no other changes are being made.

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 7

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
Form 19b-4

F e No. * SR 2021 * 01

Amendment No. (req. for Amendments *) 1

F ng by NYSE Nat ona , Inc.

Pursuant to Ru e 19b 4 under the Secur t es Exchange Act of 1934

Int a *	Amendment *	W thdrawa	Sect on 19(b)(2) *	Sect on 19(b)(3)(A) *	Sect on 19(b)(3)(B) *
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *	Ru e		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/> 19b 4(f)(1)	<input type="checkbox"/> 19b 4(f)(4)	
			<input type="checkbox"/> 19b 4(f)(2)	<input type="checkbox"/> 19b 4(f)(5)	
			<input type="checkbox"/> 19b 4(f)(3)	<input type="checkbox"/> 19b 4(f)(6)	

Not ce of proposed change pursuant to the Payment, C ear ng, and Sett ement Act of 2010

Sect on 806(e)(1) *

☐

Sect on 806(e)(2) *

☐

Secur ty Based Swap Subm ss on pursuant to the Secur t es Exchange Act of 1934

Sect on 3C(b)(2) *

☐

Exh b t 2 Sent As Paper Document

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Exh b t 3 Sent As Paper Document

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Description

Prov de a br ef descr pt on of the act on (m t 250 characters, requ red when Int a s checked *).

Contact Information

Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.

F rst Name *	Sarah	Last Name *	Zg n ec
T te *	Sen or Course		
E ma *	Sarah.Zg n ec@the ce.com		
Te ephone *	(212) 656 2022	Fax	

Signature

Pursuant to the requ rements of the Secur t es Exchange of 1934, NYSE Nat ona , Inc. has duty caused th s f ng to be s gned on ts beha f by the unders gned thereunto duty author zed.

Date 09/14/2021

(tle *)

By Clare Saperste n

(Name *)

Assoc ate Genera Course

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Date 2021 09 14 16 35 12
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NO E Clicking the signature block at right will initiate digitally signing the orm A digital signature is as legally binding as a physical signature and once signed this orm cannot be changed

Required fields are shown with yellow backgrounds and astericks.

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFS website.

Form 19b-4 Information *

Add Remove View

The self regulatory organization must provide a required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposals consistent with the Act and applicable rules and regulations under the Act.

Exhibit 1 - Notice of Proposed Rule Change *

Add Remove View

The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies *

Add Remove View

The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (fapp cab e). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Repeals must include the repeal number, repeal date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3).

Exhibit 2- Notices, Written Comments, Transcripts, Other Communications

Add Remove View

Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

☐

Exhibit Sent As Paper Document

Exhibit 3 - Form, Report, or Questionnaire

Add Remove View

Copies of any form, report, or questionnaire that the self regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

☐

Exhibit Sent As Paper Document

Exhibit 4 - Marked Copies

Add Remove View

The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

Add Remove View

The self regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing the filing (i.e. part a amendment) separately understandable provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

Partial Amendment

Add Remove View

If the self regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made (i.e. part a amendment) separately understandable on its face. Such part a amendment shall be clearly identified and marked to show deletions and additions.

SR NYSE/NAT 2021-01 Part a Am No

SR-NYSENAT-2021-01, Partial Amendment No. 1

NYSE National, Inc. (“NYSE National” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”), in connection with the proposed rule change to amend the Exchange’s Schedule of Fees and Rebates (“Fee Schedule”) related to co-location services to add two Partial Cabinet Solution (“PCS”) bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge (“MRC”) for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021.¹ In March 2021, the Securities and Exchange Commission (“Commission”) issued a notice designating a longer period to consider the Filing.² The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing,³ and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.⁴

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

¹ The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91037 (February 1, 2021), 86 FR 8424 (February 5, 2021) (SR-NYSENAT-2021-01). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

² See Securities Exchange Act Release No. 91363 (March 18, 2021), 86 FR 15763 (March 24, 2021) (SR-NYSENAT-2021-01).

³ See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁴ See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8424, supra note 1, at 8426.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u>	<u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u> <ul style="list-style-type: none"> <u>For Users that order on or before December 31, [2021]<u>2022</u>: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> <u>For Users that order after December 31, [2021]<u>2022</u>: \$18,000 monthly</u>

<p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under “General Notes.”</p>	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>
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3. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 8 and 9 of the Filing (first paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change “2021” to “2022” in the final sentence in the carry-over paragraph on pages 8 and 9 of the Filing (first paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the second paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1):

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* * * * *

<p>Partial Cabinet Solution bundles</p> <p>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."</p>	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
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	<p><u>Option E:</u> <u>1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$18,000 monthly</u>
	<p><u>Option F:</u> <u>2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</u></p>	<p><u>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</u></p> <ul style="list-style-type: none"> • <u>For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter</u> • <u>For Users that order after December 31, [2021]2022: \$19,000 monthly</u>

All other representations in the Filing remain as stated therein and no other changes are being made.