

September 30, 2021

VIA E-MAIL

Secretary
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549-1090

Re: Securities Exchange Act Rel. 34-91034 (SR-NYSE-2021-05, SR-NYSEAMER-2021-04,

SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01)

Dear Secretary:

NYSE Arca, Inc. filed the attached Partial Amendments No. 1 to the above-referenced filings on September 14, 2021.

Sincerely,



Encl. (Partial Amendments No. 1s to SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01)

Page 1 of * 7			CHANGE COMMIS N, D.C. 20549 19b-4		F e No. * SR 2021 * 05 No. (req. for Amendments *) 1		
F ng by New	York Stock Exchange LLC						
Pursuant to Ru	e 19b 4 under the Securtes Excha	inge Act of 1934					
Inta *	Amendment *	W thdrawa	Sect on 19(t	Sect on 19(b)	(3)(A) * Sect on 19(b)(3)(B) *		
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Exh b t 2 Se	nt As Paper Document	Exh b t 3 Sent As Pap	per Document				
	Description Prov de a br ef descr pt on of the act on (m t 250 characters, required when Initial is checked *).						
Prov de the	Contact Information Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.						
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SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

For	comp ete Form 19b-4 nstruct ons p ease refer to the EFFS webs te.
Form 19b-4 Information * Add Remove Vew	The sef regulatory organization must provide a required information, presented in a clear and comprehens bie manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.
Exhibit 1 - Notice of Proposed Rule Change * Add Remove V ew	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offersiguidance on Federa Register publication requirements in the Federa Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federa securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federa Register and softnote. A references to Securities Exchange Act Releases must include the release number, release date, Federa Register cite, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A materia fallure to comply with these guide nesities were to in the proposed rule change being deemed not properly filed. See a so Rule 0.3 under the Act (17 CFR 240.0.3)
Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies * Add Remove Vew	The Not ce sect on of this Form 19b 4 must comply with the guide nesifor publication in the Federal Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federal Register (OFR) offersiguidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Register and sont note. A references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material faire to comply with these guidelines we result in the proposed rule change being deemed not properly filed. See also Rule 0.3 under the Act (17 CFR 240.0.3)
Exhibit 2- Notices, Written Comments, Transcripts, Other Communications Add Remove Vew	Cop es of not ces, wr tten comments, transcr pts, other commun cat ons. If such documents cannot be f ed e ectron ca y n accordance w th Instruct on F, they sha be f ed n accordance w th Instruct on G. Exh b t Sent As Paper Document
Exhibit 3 - Form, Report, or Questionnaire Add Remove Vew	Cop es of any form, report, or quest onna re that the se f regu atory organ zat on proposes to use to he p mp ement or operate the proposed ru e change, or that s referred to by the proposed ru e change.

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Exh b t Sent As Paper Document

Exhibit 4 - Marked Copies

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The fu text sha be marked, n any convenient manner, to indicate additions to and deletions from the mmed ately preceding fing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

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The sef regulatory organization may choose to attach as Exh bit 5 proposed changes to rule text in place of providing it in litem I and which may otherwise be more easily readable if provided separately from Form 19b 4. Exh bit 5 shall be considered part of the proposed rule change.

Partial Amendment

Add Remove V ew

SR NYSE 2021 05 Part a Am No1.doc

If the sef regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSE-2021-05, Partial Amendment No. 1

New York Stock Exchange LLC ("NYSE" or the "Exchange") hereby submits this Partial Amendment No. 1 to the above-referenced filing ("Filing"), in connection with the proposed rule change to amend the Exchange's Price List related to co-location services to add two Partial Cabinet Solution ("PCS") bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge ("MRC") for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021. In March 2021, the Securities and Exchange Commission ("Commission") issued a notice designating a longer period to consider the Filing. The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing, and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

The Filing was published for comment in the Federal Register in early February 2021. <u>See</u> Securities Exchange Act Release No. 91034 (February 1, 2021), 86 FR 8443 (February 5, 2021) (SR-NYSE-2021-05). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

See Securities Exchange Act Release No. 91357 (March 18, 2021), 86 FR 15732 (March 24, 2021) (SR-NYSE-2021-05).

See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082
 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8443, supra note 1, at 8445.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly

Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."

Option F:

2 kW partial cabinet, 1

LCN connection (40 Gb),

1 IP network connection
(40 Gb), 2 NMS Network
connections (40 Gb each),

2 fiber cross connections
and either the Network
Time Protocol Feed or
Precision Timing Protocol

\$10,000 initial charge per bundle plus monthly charge per bundle as follows:

- For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter
- For Users that order after December 31, [2021]2022; \$19,000 monthly

3. The Exchange proposes to amend the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the table on pages 28 and 29 of the Exhibit 5:

The Exchange proposes to change "2021" to "2022" in the table on pages 28 and 29 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Description

Amount of Charge

Type of Service

	* * * *	
	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
Partial Cabinet Solution bundles Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly
for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."	Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$19,000 monthly

All other representations in the Filing remain as stated therein and no other changes are being made.

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Page 1 of * 7	SI		CHANGE COMMIS DN, D.C. 20549 n 19b-4		F e No. * SR 2021 * 04 No. (req. for Amendments *) 1	
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	Description Prov de a br ef descr pt on of the act on (m t 250 characters, required when In t a is checked *).					
Contact Information Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.						
F rst Name *	Sarah	Last Name *	Zg n ec			
Tte*	Sen or Counse					
E ma *	ma * Sarah.Zg n ec@the ce.com					
Te ephone *	(212) 656 2022	Fax				
	Signature Pursuant to the requirements of the Securities Exchange of 1934, NYSE American LLC has duty caused this fing to be signed on its behalf by the undersigned thereunto duty authorized.					
Date	09/14/2021		(itle *)		
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	Form 19b-4	Information *		The se f regu atory organ zat on must provide a required information, presented in a clear and comprehens ble manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine
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				nc ude the correspond ng c te to the Code of Federa Regulations in a footnote. A references to Securities Exchange Act Releases must include their elease number, release date, Federa Register cite, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material falure to comply with these guide nes w result in the proposed rule change being deemed not properly filed. See also Rule 0.3 under the Act (17 CFR 240.0.3)
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				date, and corresponding f e number (e.g., SR [SRO] xx xx). A material falure to comply with these guide lines w result in the proposed rule change being deemed not properly field. See also Rule 0.3 under the Act (17 CFR 240.0.3)
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				prov d ng t n Item I and which may otherwise be more easily readable if provided separately from Form 19b 4. Exhibit 5 shall be considered part of the proposed rule change.
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Partial Amendment

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If the sef regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSEAMER-2021-04, Partial Amendment No. 1

NYSE American LLC ("NYSE American" or the "Exchange") hereby submits this Partial Amendment No. 1 to the above-referenced filing ("Filing"), in connection with the proposed rule change to amend the Exchange's NYSE American Equities Price List and Fee Schedule and the NYSE American Options Fee Schedule related to co-location services to add two Partial Cabinet Solution ("PCS") bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge ("MRC") for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021. In March 2021, the Securities and Exchange Commission ("Commission") issued a notice designating a longer period to consider the Filing. The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing, and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91035 (February 1, 2021), 86 FR 8449 (February 5, 2021) (SR-NYSEAMER-2021-04). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

See Securities Exchange Act Release No. 91358 (March 18, 2021), 86 FR 15732 (March 24, 2021) (SR-NYSEAMER-2021-04).

See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082
 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ See 86 FR 8449, supra note 1, at 8451-8452.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>double underlined</u>):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly

Note: A User and
its Affiliates are
limited to one
Partial Cabinet
Solution bundle at a
time. A User and its
Affiliates must have
an Aggregate
Cabinet Footprint of
2 kW or less to
qualify for a Partial
Cabinet Solution
bundle. See Note 2
under "General
Notes."

Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol

\$10,000 initial charge per bundle plus monthly charge per bundle as follows:

- For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter
- For Users that order after December 31, [2021]2022: \$19,000 monthly

3. The Exchange proposes to amend the final sentence in the third paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the third paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the fifth paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the third sentence in the fifth paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5:

The Exchange proposes to change "2021" to "2022" in the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5, as follows (proposed deletions [bracketed], new text <u>double underlined</u>):

Type of Service	Description	Amount of Charge

	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
Partial Cabinet Solution bundles Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly
for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."	Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$19,000 monthly

All other representations in the Filing remain as stated therein and no other changes are being made.

Page 1 of * 7	S		CHANGE COMMIS ON, D.C. 20549 n 19b-4		F e No. * SR 2021 * 07 No. (req. for Amendments *) 1	
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Inta *	Amendment *	W thdrawa	Sect on 19(i	Sect on 19(b)	(3)(A) * Sect on 19(b)(3)(B) *	
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Not ce of pro	posed change pursuant to the Payme	ent, C ear ng, and Sett e	ment Act of 2010	Secur ty Based Swa Secur t es Exchange	p Subm ss on pursuant to the	
Sect on 806(e)(1) *	Sect on 806(e)(2) *		Section 3C(b)(2) *	ACCOL 1904	
Exh b t 2 Ser	nt As Paper Document	Exh b t 3 Sent As Pa	aper Document			
	Description Prov de a br ef descr pt on of the act on (m t 250 characters, required when Initial is checked *).					
Prov de the r	Contact Information Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.					
F rst Name *	Sarah	Last Name *	Zg nec			
Tte*	Sen or Counse					
E ma *	* Sarah.Zg n ec@the ce.com					
Te ephone *	(212) 656 2022	Fax				
Signature						
Pursuant to	the requirements of the Securities Ex ised this fing to be signed on its beh	change of 1934, NYSE at by the unders gned the	Arca, Inc. hereunto duty author z	red.		
Date	09/14/2021			(itle *)		
Ву	C are Saperste n		Assoc ate Genera Co	ounse		
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orm A digital si	the signature block at right will initiate digitally sig ignature is as legally binding as a physical signat s orm cannot be changed	ure and	Clare Saperstein	Digitally signed by Clare Saperstein Date 2021 09 14 16 34 03 -04'00'		

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

			WASHINGTON, B.G. 20043
			For comp ete Form 19b-4 nstruct ons p ease refer to the EFFS webs te.
Form 19b-4 Information *		*	The self regulatory organization must provide a lirequired information, presented in a clear and comprehens ble manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine
Add	Remove	Vew	whether the proposa s cons stent w th the Act and app cabe rues and regulations under the Act.
Exhibit 1 - Change *	Notice of Prop	posed Rule	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offers guidance on Federa Register publication requirements in the Federa Register
Add	Remove	V ew	Document Draft ng Handbook, October 1998 Rev s on. For examp e, a references to the federa securities aws must no ude the corresponding cite to the United States Code in a footnote. A references to SEC rules must no ude the corresponding cite to the Code of Federa Regulations in a footnote. A references to Securities Exchange Act Releases must include the release number, release date, Federa Register cite, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A materia falure to comply with these guide nes w result in the proposed rule change being deemed not properly filed. See also Rule 0.3 under the Act (17 CFR 240.0.3)
Rule Chan Submissio	- Notice of Proge, Security-B n, or Advance g Agencies *	Based Swap	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offers guidance on Federa Register publication requirements in the Federa Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federa securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federa Regulations in a footnote. A references to Securities
			Exchange Act Re eases must no ude the re ease number, re ease date, Federa Reg ster cite, Federa Reg ster date, and corresponding f e number (e.g., SR [SRO] xx xx). A materia fa ure to comply with these guide nes w result in the proposed rule change being deemed not properly field. See also Rule 0.3 under the Act (17 CFR 240.0.3)
	Notices, Writte s, Other Com		
Add	Remove	V ew	
			Exh b t Sent As Paper Document
Exhibit 3 - Questionn	Form, Report aire	, or	Cop es of any form, report, or quest onna re that the se f regu atory organ zat on proposes to use to he p mp ement or operate the proposed ru e change, or that s referred to by the proposed ru e change.
Add	Remove	Vew	
			Exh b t Sent As Paper Document
Exhibit 4 -	Marked Copie	es	The fu text sha be marked, in any convenient manner, to indicate additions to and deletions from the mmed ately preceding fing. The purpose of Exhibit 4 is to permit the staff to dentify immed ately the changes
Add	Remove	V ew	made from the text of the ru e w th wh ch t has been work ng.
Exhibit 5 -	Proposed Ru	le Text	The sef regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing tin Item I and which may otherwise be more easily readable figure from Form 19b 4.
Add	Remove	V ew	Exh b t 5 sha be cons dered part of the proposed ru e change

Partial Amendment

Add Remove V ew

SR NYSEArca 2021 07 Part a Am No

If the sef regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSEArca-2021-07, Partial Amendment No. 1

NYSE Arca, Inc. ("NYSE Arca" or the "Exchange") hereby submits this Partial Amendment No. 1 to the above-referenced filing ("Filing"), in connection with the proposed rule change to amend the NYSE Arca Equities Fees and Charges and the NYSE Arca Options Fees and Charges related to co-location services to add two Partial Cabinet Solution ("PCS") bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge ("MRC") for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021. In March 2021, the Securities and Exchange Commission ("Commission") issued a notice designating a longer period to consider the Filing. The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing, and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 6, 2021.

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91044 (February 2, 2021), 86 FR 8662 (February 8, 2021) (SR-NYSEArca-2020-07). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

See Securities Exchange Act Release No. 91360 (March 18, 2021), 86 FR 15764 (March 24, 2021) (SR-NYSEArca-2021-07).

See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. 92531 (July 30, 2021), 86 FR 92531 (August 5, 2021) (SR-NYSEArca-2021-97).

⁵ See 86 FR 8662, supra note 1, at 8664.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the first paragraph on page 5 of the Filing (first paragraph on page 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the table on pages 5 and 6 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>double underlined</u>):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly

Note: A User and
its Affiliates are
limited to one
Partial Cabinet
Solution bundle at a
time. A User and its
Affiliates must have
an Aggregate
Cabinet Footprint of
2 kW or less to
qualify for a Partial
Cabinet Solution
bundle. See Note 2
under "General
Notes."

Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol

\$10,000 initial charge per bundle plus monthly charge per bundle as follows:

- For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter
- For Users that order after December 31, [2021]2022: \$19,000 monthly

3. The Exchange proposes to amend the final sentence in the second paragraph on page 9 of the Filing (first paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the second paragraph on page 9 of the Filing (first paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the fourth paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the third sentence in the fourth paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5:

The Exchange proposes to change "2021" to "2022" in the tables on pages 28 and 29 and on pages 30 and 31 of the Exhibit 5, as follows (proposed deletions [bracketed], new text <u>double underlined</u>):

Type of Service	Description	Amount of Charge		

	Option A: No change	No change		
	Option B: No change	No change		
	Option C: No change	No change		
	Option D: No change	No change		
Partial Cabinet Solution bundles Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly		
	Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$19,000 monthly		

All other representations in the Filing remain as stated therein and no other changes are being made.

<u> </u>	, ,				
Page 1 of * 7			CHANGE COMMISSI DN, D.C. 20549 n 19b-4		F e No. * SR 2021 * 01 No. (req. for Amendments *) 1
F ng by NYSE	Ch cago, Inc.				
Pursuant to Ru e	19b 4 under the Securtes Exch	ange Act of 1934			
Inta *	Amendment *	W thdrawa	Sect on 19(b)(2		3)(A) * Sect on 19(b)(3)(B) *
P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *		Ru e 19b 4(f)(1) 19b 4(f)(2) 19b 4(f)(3)	19b 4(f)(4) 19b 4(f)(5) 19b 4(f)(6)
Not ce of prop Sect on 806(e	posed change pursuant to the Page)(1) *	yment, C ear ng, and Sett er Sect on 806(e)(2) *	ment Act of 2010	Secur ty Based Swap Secur t es Exchange Sect on 3C(b)(2) *	o Subm ss on pursuant to the Act of 1934
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Prov de a br	n ef descr pt on of the act on (m t 2	250 characters, requ red wh	en Inta s checked*).		
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F rst Name *	Sarah	Last Name *	Zg n ec		
Tte*	Tte* Sen or Counse				
E ma *	E ma * Sarah.Zg n ec@the ce.com				
Te ephone *	(212) 656 2022	Fax			
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orm A digital sig	the signature block at right will initiate digitall gnature is as legally binding as a physical sig s orm cannot be changed	noture and	Saparetain	Digitally signed by Clare Saperstein Date 2021 09 14 16 34 44	

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

For	comp ete Form 19b-4 nstruct ons p ease refer to the EFFS webs te.
Form 19b-4 Information * Add Remove Vew	The se f regu atory organ zat on must provide a required information, presented in a clear and comprehens ble manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.
Exhibit 1 - Notice of Proposed Rule Change * Add Remove V ew	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offers guidance on Federa Register publication requirements in the Federa Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federa securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federa Register and in a footnote. A references to Securities Exchange Act Releases must include the release number, release date, Federa Register cite, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A materia falure to comply with these guide nesiting the proposed rule change being deemed not properly filed. See also Rule 0.3 under the Act (17 CFR 240.0.3)
Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies * Add Remove Vew	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offers guidance on Federa Register publication requirements in the Federa Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federa securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federa Regulations in a footnote. A references to Securities Exchange Act Releases must include the release number, release date, Federa Register cite, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A materia falure to comply with these guide nesivines to the proposed rule change being deemed not properly filed. See a so Rule 0.3 under the Act (17 CFR 240.0.3)
Exhibit 2- Notices, Written Comments,	Cop es of not ces, wr tten comments, transcr pts, other commun cat ons. If such documents cannot be f ed

Transcripts, Other Communications

Add	Remove	V ew

ed e ectron ca y n accordance with Instruction F, they shall be field in accordance with Instruction G.

Exh b t Sent As Paper Document

Exhibit 3 - Form, Report, or Questionnaire

Add Remove Vew Cop es of any form, report, or quest onna re that the se f regu atory organ zat on proposes to use to he p mp ement or operate the proposed ru e change, or that s referred to by the proposed ru e change.

Exh b t Sent As Paper Document

Exhibit 4 - Marked Copies

Add Remove V ew The fu text sha be marked, in any convenient manner, to indicate additions to and deletions from the mmed ate y preceding fing. The purpose of Exhibit 4 is to permit the staff to identify immed ate y the changes made from the text of the ru e w th wh ch t has been work ng.

Exhibit 5 - Proposed Rule Text

Add Remove V ew The sef regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of prov d ng t n Item I and wh ch may otherw se be more eas y readab e f prov ded separate y from Form 19b 4. Exh b t 5 sha be cons dered part of the proposed ru e change

Partial Amendment

Add Remove V ew SR NYSECHX 2021 01 Part a Am No If the sef regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Comm ss on's perm ss on, f e on y those port ons of the text of the proposed ru e change n which changes are be ng made f the f ng (.e. part a amendment) s c ear y understandab e on ts face. Such part a amendment sha be ceary dentifed and marked to show de et ons and add tons.

SR-NYSECHX-2021-01, Partial Amendment No. 1

New York Stock Exchange LLC ("NYSE" or the "Exchange") hereby submits this Partial Amendment No. 1 to the above-referenced filing ("Filing"), in connection with the proposed rule change to amend the Exchange's Fee Schedule related to co-location services to add two Partial Cabinet Solution ("PCS") bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge ("MRC") for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021. In March 2021, the Securities and Exchange Commission ("Commission") issued a notice designating a longer period to consider the Filing. The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing, and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91036 (February 1, 2021), 86 FR 8440 (February 5, 2021) (SR-NYSECHX-2021-01). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

See Securities Exchange Act Release No. 91362 (March 18, 2021), 86 FR 15765 (March 24, 2021) (SR-NYSECHX-2021-01).

See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082
 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ <u>See</u> 86 FR 8440, <u>supra</u> note 1, at 8442.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the first paragraph on page 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the first paragraph on page 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly

Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."

Option F:

2 kW partial cabinet, 1

LCN connection (40 Gb),

1 IP network connection
(40 Gb), 2 NMS Network
connections (40 Gb each),

2 fiber cross connections
and either the Network
Time Protocol Feed or
Precision Timing Protocol

\$10,000 initial charge per bundle plus monthly charge per bundle as follows:

- For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter
- For Users that order after December 31, [2021]2022; \$19,000 monthly

3. The Exchange proposes to amend the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the first paragraph on page 9 of the Filing (carry-over paragraph on pages 21 and 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the third sentence in the third paragraph on page 9 of the Filing (second paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the table on pages 28 and 29 of the Exhibit 5:

The Exchange proposes to change "2021" to "2022" in the table on pages 28 and 29 of the Exhibit 5, as follows (proposed deletions [bracketed], new text double underlined):

Description

Amount of Charge

Type of Service

	* * * *	
	Option A: No change	No change
	Option B: No change	No change
	Option C: No change	No change
	Option D: No change	No change
Partial Cabinet Solution bundles Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly
	Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$19,000 monthly

All other representations in the Filing remain as stated therein and no other changes are being made.

Page 1 of * 7	S		CHANGE COMMIS DN, D.C. 20549 n 19b-4		F e No. * SR 2021 * 01 No. (req. for Amendments *) 1
F ng by NYSE	Nat ona , Inc.				
Pursuant to Ru	e 19b 4 under the Securt es Exchang	ge Act of 1934			
Inta *	Amendment *	W thdrawa	Sect on 19(I	Sect on 19(b)	(3)(A) * Sect on 19(b)(3)(B) *
P ot	Extens on of T me Per od for Comm ss on Act on *	Date Exp res *		Ru e 19b 4(f)(1) 19b 4(f)(2) 19b 4(f)(3)	19b 4(f)(4) 19b 4(f)(5) 19b 4(f)(6)
Not ce of pro	posed change pursuant to the Payme	ent, C ear ng, and Sett er	ment Act of 2010	Secur ty Based Swa Secur t es Exchange	p Subm ss on pursuant to the
Sect on 806(e)(1) *	Sect on 806(e)(2) *		Section 3C(b)(2) *	ACI 01 1934
Exh b t 2 Ser	nt As Paper Document	Exh b t 3 Sent As Pa	per Document		
Description Prov de a br ef descr pt on of the act on (mt 250 characters, required when Initial is checked *).					
Contact Information Prov de the name, te ephone number, and e ma address of the person on the staff of the se f regu atory organ zat on prepared to respond to quest ons and comments on the act on.					
F rst Name *	Sarah	Last Name *	Zg n ec		
Tte*	Sen or Counse				
E ma *	na * Sarah.Zg n ec@the ce.com				
Te ephone *	(212) 656 2022	Fax			
Signature					
Pursuant to the requirements of the Securities Exchange of 1934, NYSE Nationa, Inc. has duty caused this fing to be signed on its behalf by the undersigned thereunto duty authorized.					
Date	09/14/2021			(itle *)	
Ву	C are Saperste n		Assoc ate Genera Co	ounse	
'	(Name *)				
orm A digital si	the signature block at right will initiate digitally sig ignature is as legally binding as a physical signatus s orm cannot be changed	ure and	Clare Saperstein	Digitally signed by Clare Saperstein Date 2021 09 14 16 35 12 -04'00'	

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

	WAGIINGTON, B.C. 20040		
For	comp ete Form 19b-4 nstruct ons p ease refer to the EFFS webs te.		
Form 19b-4 Information *	The self regulatory organization must provide a required information, presented in a clear and comprehens ble manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determin		
Add Remove Vew	whether the proposa s cons stent with the Act and appicable rules and regulations under the Act.		
Exhibit 1 - Notice of Proposed Rule Change *	The Not ce sect on of this Form 19b 4 must comply with the guide ness for publication in the Federa Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federa Register (OFR) offers guidance on Federa Register publication requirements in the Federa Register.		
Add Remove Vew	Document Draft ng Handbook, October 1998 Rev s on. For examp e, a references to the federa secur t es aws must no ude the correspond ng c te to the United States Code in a footnote. A references to SEC rules must no ude the corresponding c te to the Code of Federa Regulations in a footnote. A references to Secur t es Exchange Act Releases must no ude the release number, release date, Federa Register c te, Federa Register date, and corresponding file number (e.g., SR [SRO] xx xx). A materia fa ure to comply with these guide nes w result in the proposed rule change being deemed not properly filed. See a so Rule 0.3 under the Act (17 CFR 240.0.3)		
Exhibit 1A - Notice of Proposed Rule Change, Security-Based Swap Submission, or Advanced Notice by Clearing Agencies * Add Remove V ew	The Not ce sect on of this Form 19b 4 must comply with the guide nesifor publication in the Federal Register as we as any requirements for electronic fing as published by the Commission (flappicable). The Office of the Federal Register (OFR) offersiguidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, a references to the federal securities aws must include the corresponding cite to the United States Code in a footnote. A references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. A references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR [SRO] xx xx). A material faire to comply with these guidelines weresult in the proposed rule change being deemed not properly filed. See also Rule 0.3 under the Act (17 CFR 240.0.3)		
Exhibit 2- Notices, Written Comments, Transcripts, Other Communications Add Remove V ew	Cop es of not ces, wr tten comments, transcr pts, other commun cat ons. If such documents cannot be f ed e ectron ca y n accordance w th Instruct on F, they sha be f ed n accordance w th Instruct on G.		
	Exh b t Sent As Paper Document		
Exhibit 3 - Form, Report, or Questionnaire Add Remove Vew	Cop es of any form, report, or quest onna re that the se f regu atory organ zat on proposes to use to he p mp ement or operate the proposed ru e change, or that s referred to by the proposed ru e change.		
	Exh b t Sent As Paper Document		
Exhibit 4 - Marked Copies Add Remove V ew	The fu text sha be marked, n any convenient manner, to indicate additions to and deletions from the mmed ately preceding fing. The purpose of Exhibit 4 is to permit the staff to identify immed ately the changes made from the text of the rule with which it has been working.		
Add Remove V ew	The sef regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b 4. Exhibit 5 shall be considered part of the proposed rule change		

Partial Amendment

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SR NYSENAT 2021 01 Part a Am No

If the sef regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSENAT-2021-01, Partial Amendment No. 1

NYSE National, Inc. ("NYSE National" or the "Exchange") hereby submits this Partial Amendment No. 1 to the above-referenced filing ("Filing"), in connection with the proposed rule change to amend the Exchange's Schedule of Fees and Rebates ("Fee Schedule") related to co-location services to add two Partial Cabinet Solution ("PCS") bundles. With this Partial Amendment No. 1, the Exchange proposes to change the final date for benefiting from a reduction in the monthly recurring charge ("MRC") for the proposed PCS bundles.

The Exchange filed the proposed rule change on January 19, 2021. In March 2021, the Securities and Exchange Commission ("Commission") issued a notice designating a longer period to consider the Filing. The Commission subsequently issued an order instituting proceedings to determine whether to approve or disapprove the Filing, and then issued an order extending the deadline for approving or disapproving the proposed rule change to October 3, 2021.

In the Filing, the Exchange proposed that Users that order the proposed PCS bundles Option E or F on or before December 31, 2021 receive a 50% reduction in the MRC for the first 12 months. The MRC reduction was proposed as an incentive to Users to utilize the proposed PCS bundles.⁵

Given the passage of time since the Exchange first submitted the proposed rule change, Users would enjoy only a limited benefit from this MRC reduction before it would be due to expire on December 31, 2021. Accordingly, the Exchange believes that it would be reasonable to extend the deadline for ordering the Option E or F bundle with a reduced MRC to December 31, 2022. Doing so would provide Users with the benefit of a longer period in which to order the proposed PCS bundles with a reduced MRC, giving them

The Filing was published for comment in the Federal Register in early February 2021. See Securities Exchange Act Release No. 91037 (February 1, 2021), 86 FR 8424 (February 5, 2021) (SR-NYSENAT-2021-01). Unless otherwise defined herein, capitalized terms have the same meaning as in the Filing.

See Securities Exchange Act Release No. 91363 (March 18, 2021), 86 FR 15763 (March 24, 2021) (SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. 91785 (May 6, 2021), 86 FR 26082 (May 12, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSEArca-2021-07, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

See Securities Exchange Act Release No. 92532 (July 30, 2021), 86 FR 42911 (August 5, 2021) (SR-NYSE-2021-05, SR-NYSEAMER-2021-04, SR-NYSECHX-2021-01, SR-NYSENAT-2021-01).

⁵ <u>See</u> 86 FR 8424, <u>supra</u> note 1, at 8426.

more time to evaluate the benefits of the PCS bundles as compared to bundles offered by various Hosting Users.

To implement the change, the Exchange proposes the following amendments to the Filing:

1. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the carry-over paragraph on pages 4 and 5 of the Filing (carry-over paragraph on pages 15 and 16 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The Exchange proposes that Users that purchase Option E or F bundles on or before December 31, [2021]2022 would receive a 50% reduction in the MRC for the first 12 months.

2. The Exchange proposes to amend the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the table on page 5 of the Filing (pages 16 and 17 of the Exhibit 1), as follows (proposed deletions [bracketed], new text double underlined):

Type of Service	Description	Amount of Charge
Partial Cabinet Solution bundles	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly

Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."

Option F:

2 kW partial cabinet, 1

LCN connection (40 Gb),

1 IP network connection
(40 Gb), 2 NMS Network
connections (40 Gb each),

2 fiber cross connections
and either the Network
Time Protocol Feed or
Precision Timing Protocol

\$10,000 initial charge per bundle plus monthly charge per bundle as follows:

- For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter
- For Users that order after December 31, [2021]2022: \$19,000 monthly

3. The Exchange proposes to amend the final sentence in the carry-over paragraph on pages 8 and 9 of the Filing (first paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the final sentence in the carryover paragraph on pages 8 and 9 of the Filing (first paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text <u>underlined</u>):

The proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

4. The Exchange proposes to amend the third sentence in the second paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1):

The Exchange proposes to change "2021" to "2022" in the third sentence in the second paragraph on page 9 of the Filing (third paragraph on page 22 of the Exhibit 1), as follows (proposed deletions [bracketed], new text underlined):

Specifically, the proposed initial charges and MRCs for Options E and F would apply equally to all Users that purchase an Option E or F bundle, and the proposed 50% reduction of MRC for the first 12 months would apply to any User that orders an Option E or F bundle on or before December 31, [2021]2022.

5. The Exchange proposes to amend the table on pages 28 and 29 of the Exhibit 5:

The Exchange proposes to change "2021" to "2022" in the table on pages 28 and 29 of the Exhibit 5, as follows (proposed deletions [bracketed], new text <u>double underlined</u>):

Type of Service	Description	Amount of Charge		

	Option A: No change	No change		
	Option B: No change	No change		
	Option C: No change	No change		
	Option D: No change	No change		
Partial Cabinet Solution bundles Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes."	Option E: 1 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,000 monthly for first 12 months of service, and \$18,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$18,000 monthly		
	Option F: 2 kW partial cabinet, 1 LCN connection (40 Gb), 1 IP network connection (40 Gb), 2 NMS Network connections (40 Gb each), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol	\$10,000 initial charge per bundle plus monthly charge per bundle as follows: • For Users that order on or before December 31, [2021]2022: \$9,500 monthly for first 12 months of service, and \$19,000 monthly thereafter • For Users that order after December 31, [2021]2022: \$19,000 monthly		

All other representations in the Filing remain as stated therein and no other changes are being made.