

MEMORANDUM

TO: File No. SR-NYSE-2019-68

FROM: Marsha Dixon
Special Counsel
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 19, 2020

SUBJECT: Meeting with Representatives of Goldman Sachs

On February 19, 2020, representatives from the U.S. Securities and Exchange Commission (“SEC”) met with representatives from Goldman Sachs. The SEC representatives were Brett Redfearn, David Shillman, Andrea Orr, Michael Coe, Benjamin Bernstein, Marsha Dixon, and Matthew Cursio from the Division of Trading and Markets.

The Goldman Sachs representatives were Kyle Russ and Alicia Crighton. The participants in the meeting discussed, among other things, NYSE’s proposal to implement certain pre-trade risk controls (SR-NYSE-2019-68).