



**Martha Redding**  
Associate General Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + [REDACTED]  
F + [REDACTED]

March 2, 2016

**VIA E-MAIL**

Brent J. Fields  
Secretary  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549-1090

Re: SR-NYSE-2016-13

Dear Mr. Fields:

NYSE LLC; filed the attached Partial Amendment No. 1 to the above-referenced filing on March 1, 2016.

Sincerely,

A handwritten signature in blue ink, appearing to be "B. Fields", written in a cursive style.

Encl. (Partial Amendment No. 1 to SR-NYSE-2016-13)

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of \* 7 SECURITIES AND EXCHANGE COMMISSION File No.\* SR - 2016 - \* 13  
 WASHINGTON, D.C. 20549  
 Form 19b-4 Amendment No. (req. for Amendments \*) 1

Filing by New York Stock Exchange  
 Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934

<b>Initial *</b>	<b>Amendment *</b>	<b>Withdrawal</b>	<b>Section 19(b)(2) *</b>	<b>Section 19(b)(3)(A) *</b>	<b>Section 19(b)(3)(B) *</b>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			Rule		
<b>Pilot</b>	<b>Extension of Time Period for Commission Action *</b>	<b>Date Expires *</b>	<input type="checkbox"/> 19b-4(f)(1)	<input type="checkbox"/> 19b-4(f)(4)	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/> 19b-4(f)(2)	<input type="checkbox"/> 19b-4(f)(5)	
			<input type="checkbox"/> 19b-4(f)(3)	<input type="checkbox"/> 19b-4(f)(6)	

<b>Notice of proposed change pursuant to the Payment, Clearing, and Settlement Act of 2010</b>	<b>Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934</b>
Section 806(e)(1)	Section 3C(b)(2)
<input type="checkbox"/>	<input type="checkbox"/>
Section 806(e)(2)	
<input type="checkbox"/>	

Exhibit 2 Sent As Paper Document	Exhibit 3 Sent As Paper Document
<input type="checkbox"/>	<input type="checkbox"/>

**Description**

Provide a brief description of the action (limit 250 characters, required when Initial is checked \*).

Proposal to establish procedures for the allocation of cages to its collocated Users including the waiver of certain fees and to amend the visitor security escort requirements and fee

**Contact Information**

Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.

First Name \* Martha Last Name \* Redding

Title \* Associate General Counsel NYSE Group Inc

E-mail \* [REDACTED]

Telephone \* [REDACTED] Fax [REDACTED]

**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934,

has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized.

(Title \*)

Date 03/01/2016 Associate General Counsel

By Clare Saperstein [Signature Box]

(Name \*)

Clare Saperstein,

NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFF website.

**Form 19b-4 Information \***

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The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.

**Exhibit 1 - Notice of Proposed Rule Change \***

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 1A- Notice of Proposed Rule Change, Security-Based Swap Submission, or Advance Notice by Clearing Agencies**

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications**

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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

Exhibit Sent As Paper Document

**Exhibit 3 - Form, Report, or Questionnaire**

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Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

Exhibit Sent As Paper Document

**Exhibit 4 - Marked Copies**

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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

**Exhibit 5 - Proposed Rule Text**

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The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

**Partial Amendment**

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If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

SR-NYSE-2016-13, Partial Amendment No. 1

New York Stock Exchange LLC (“NYSE” or the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”) in connection with the proposed rule change to establish procedures for the allocation of cages to its co-located Users, including the waiver of certain fees, amend the visitor security escort requirements and fee, and amend the Exchange’s Price List to reflect the changes. The Exchange proposes the following amendments to the filing:

**1. Amend the first sentence of the second full paragraph on page 12 of the Filing (first sentence of the second full paragraph on page 29 of the Exhibit 1).**

The Exchange proposes to amend the first sentence of the second full paragraph on page 12 of the Filing (first sentence of the second full paragraph on page 29 of the Exhibit 1) to indicate that the Exchange believes that the proposed rate of \$75 per visit for the Visitor Security Escort is equitable because all Users would be subject to the same fee. To reflect the proposed change, the Exchange proposes to amend the text as follows (new text underlined, deleted text in strike-through):

The Exchange believes that the proposed rate of \$75 per visit for the Visitor Security Escort, as opposed to \$75 per hour, is ~~reasonable~~equitable because all Users would be subject to the same fee.

**2. The Exchange proposes to amend the first paragraph of proposed general note 3 on page 34 of the Exhibit 5.**

The Exchange proposes to amend the first paragraph of proposed general note 3 under “General Notes” on page 34 of the Exhibit 5 to clarify that the cross connects may only be used to connect one User’s non-contiguous cabinets. To reflect the proposed change, the Exchange proposes to amend the text as follows (new text double underlined, deleted text in strike-through):

The initial and monthly charge for 2 bundles of 24 cross connects will be waived for a Users that ~~are~~is waitlisted for a cage for the duration of the waitlist period, provided that the cross connects may only be used to connect the User’s~~s~~ non-contiguous cabinets. The charge will no longer be waived once a User is removed from the waitlist.

A marked copy of the changes to the rule text proposed in this amendment against the version of the rule text that was initially filed is attached as Exhibit 4. The proposed rule text, as amended, is attached as Exhibit 5 and replaces in its entirety the Exhibit 5 that was included with the Filing.

All other representations in the Filing remain as stated therein and no other changes are being made.

Additions underscored  
Deletions [bracketed]  
New text double underscored  
New deletions [~~bracketed in strike-through~~]

New York Stock Exchange  
Price List 2016

\* \* \* \* \*

**Last Updated: [February 5], 2016**

\* \* \* \* \*

**Co-Location Fees**

\* \* \* \* \*

General Notes

1. [No change]
2. [No change]
3. The initial and monthly charge for 2 bundles of 24 cross connects will be waived for a User[s] that are waitlisted for a cage for the duration of the waitlist period, provided that the cross connects may only be used to connect the User's[s] non-contiguous cabinets. The charge will no longer be waived once a User is removed from the waitlist.
  - If a waitlist is created, a User seeking a new cage will be placed on the waitlist based on the date a signed order for the cage is received.
  - A User that turns down a cage because it is not the correct size will remain on the waitlist. A User that requests to be removed or that turns down a cage that is the size that it requested will be removed from the waitlist.
  - A User that is removed from the waitlist but subsequently requests a cage will be added back to the bottom of the waitlist, provided that, if the User was removed from the waitlist because it turned down a cage that is the size that it requested, it will not receive a second waiver of the charge.

\* \* \* \* \*

Type of Service	Description	Amount of Charge
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\* \* \* \* \*

Data Center Fiber Cross Connect	Furnish and install 1 cross connect	\$500 initial charge plus \$500 monthly charge
	Furnish and install bundle of 6 cross connects	\$500 initial charge plus \$1,500 monthly charge
	Furnish and install bundle of 12 cross connects	\$500 initial charge plus \$2,500 monthly charge
	Furnish and install bundle of 18 cross connects	\$500 initial charge plus \$3,200 monthly charge
	Furnish and install bundle of 24 cross connects	\$500 initial charge plus \$3,900 monthly charge <u>See General Note 3.</u>

\* \* \* \* \*

Visitor Security Escort***	[NYSE employee escort, which is required during User visits to the data center. (Note: a)All User representatives are required to <u>be accompanied by</u> [have] a visitor security escort during visits to the data center, <u>unless visiting the User's cage.</u> <u>Requirement includ[ing]es</u> User representatives who have a permanent data center site access badge.[)]	\$75 per [hour]visit
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\*\*\* [No change]

Additions underscored  
Deletions [bracketed]

New York Stock Exchange  
Price List 2016

\* \* \* \* \*

**Last Updated: [February 5]●, 2016**

\* \* \* \* \*

**Co-Location Fees**

\* \* \* \* \*

General Notes

1. [No change]

2. [No change]

3. The initial and monthly charge for 2 bundles of 24 cross connects will be waived for a User that is waitlisted for a cage for the duration of the waitlist period, provided that the cross connects may only be used to connect the User's non-contiguous cabinets. The charge will no longer be waived once a User is removed from the waitlist.

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\* \* \* \* \*

Type of Service	Description	Amount of Charge
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\* \* \* \* \*

Data Center Fiber Cross Connect	Furnish and install 1 cross connect	\$500 initial charge plus \$500 monthly charge
	Furnish and install bundle of 6 cross connects	\$500 initial charge plus \$1,500 monthly charge
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	Furnish and install bundle of 18 cross connects	\$500 initial charge plus \$3,200 monthly charge
	Furnish and install bundle of 24 cross connects	\$500 initial charge plus \$3,900 monthly charge <u>See General Note 3.</u>

\* \* \* \* \*

Visitor Security Escort***	[NYSE employee escort, which is required during User visits to the data center. (Note: a)All User representatives are required to <u>be accompanied by</u> [have] a visitor security escort during visits to the data center, <u>unless visiting the User's cage.</u> <u>Requirement includ[ing]es</u> User representatives who have a permanent data center site access badge.[)]	\$75 per [hour]visit
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\*\*\* [No change]