



**Martha Redding**  
Associate General Counsel  
Assistant Secretary

New York Stock Exchange  
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New York, NY 10005  
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May 3, 2016

**VIA E-MAIL**

Brent J. Fields  
Secretary  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549-1090

Re: Securities Exchange Act Rel. 34-77332 (SR-NYSE-2016-11)

Dear Mr. Fields:

NYSE LLC; filed the attached Partial Amendment No. 1 to the above-referenced filing on April 29, 2016.

Sincerely,

A handwritten signature in blue ink, appearing to be "B. J. Fields".

Encl. (Partial Amendment No. 1 to SR-NYSE-2016-11)

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 4	SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4	File No.* SR - 2016 - * 11 Amendment No. (req. for Amendments *) 1
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Filing by New York Stock Exchange LLC  
Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934

Initial * <input type="checkbox"/>	Amendment * <input checked="" type="checkbox"/>	Withdrawal <input type="checkbox"/>	Section 19(b)(2) * <input checked="" type="checkbox"/>	Section 19(b)(3)(A) * <input type="checkbox"/>	Section 19(b)(3)(B) * <input type="checkbox"/>
Pilot <input type="checkbox"/>	Extension of Time Period for Commission Action * <input type="checkbox"/>	Date Expires * <input type="text"/>	Rule <input type="checkbox"/> 19b-4(f)(1) <input type="checkbox"/> 19b-4(f)(4) <input type="checkbox"/> 19b-4(f)(2) <input type="checkbox"/> 19b-4(f)(5) <input type="checkbox"/> 19b-4(f)(3) <input type="checkbox"/> 19b-4(f)(6)		

Notice of proposed change pursuant to the Payment, Clearing, and Settlement Act of 2010	Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934
Section 806(e)(1) * <input type="checkbox"/>	Section 806(e)(2) * <input type="checkbox"/>
	Section 3C(b)(2) * <input type="checkbox"/>

Exhibit 2 Sent As Paper Document <input type="checkbox"/>	Exhibit 3 Sent As Paper Document <input type="checkbox"/>
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**Description**

Provide a brief description of the action (limit 250 characters, required when Initial is checked \*).

**Contact Information**

Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.

First Name \* Martha    Last Name \* Redding  
 Title \* Associate General Counsel  
 E-mail \* [REDACTED]  
 Telephone \* [REDACTED]    Fax [REDACTED]

**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934,

has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized.

(Title \*)

Date 04/29/2016    Senior Counsel  
 By David De Gregorio    [REDACTED]

(Name \*)

David DeGregorio,

NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFF website.

**Form 19b-4 Information \***

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The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.

**Exhibit 1 - Notice of Proposed Rule Change \***

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 1A- Notice of Proposed Rule Change, Security-Based Swap Submission, or Advance Notice by Clearing Agencies \***

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications**

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Exhibit Sent As Paper Document

Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

**Exhibit 3 - Form, Report, or Questionnaire**

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Exhibit Sent As Paper Document

Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

**Exhibit 4 - Marked Copies**

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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

**Exhibit 5 - Proposed Rule Text**

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The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

**Partial Amendment**

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If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

## SR-NYSE-2016-11, Partial Amendment No. 1

New York Stock Exchange LLC (the “Exchange”) hereby submits this Partial Amendment No. 1 to the above-referenced filing (“Filing”) in connection with the proposed rule change to establish fees relating to certain end users and amend the definition of “affiliate,” as well as to amend the co-location section of the Exchange’s Price List (“Price List”) to reflect the changes. The Exchange proposes the following amendments to the filing:

**1. Amend the section entitled “General Notes” on page 47 of the Exhibit 5.**

A new general note 3 has been added to the Price List.<sup>3</sup> Accordingly, the Exchange proposes to amend the section entitled “General Notes” on page 47 of the Exhibit 5 to include a reference to the new note 3, the text of which will not change, and update the numbering of the proposed new general note to 4. To reflect the proposed change, the Exchange proposes to amend the text as follows (new text double underlined):

General Notes

1. [No change]
2. [No change]
3. [No change]
4. In order to assess the Rebroadcasting User and Transmittal User fees accurately, Rebroadcasting Users and Transmittal Users are required to report the following to the Exchange on a monthly basis: (a) the number of their Multicast End Users and Unicast End Users, and (b) the number of connections to each such Multicast End User and Unicast End User.
  - A User that excludes an Affiliate from its list of Multicast End Users or Unicast End Users consistent with the above definitions may be required to certify to the Exchange the Affiliate status of such end user. The Exchange may review available information regarding the Affiliate status of an end user and reserves the right to request additional information to verify the Affiliate status of such entity. The Exchange shall approve a request to exclude an Affiliate unless it determines that the certification is not accurate.
  - Users that are not Rebroadcasting Users or Transmittal Users may be asked to certify as much to the Exchange.

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<sup>3</sup> See Securities Exchange Act Release No. 77681 (April 21, 2016), 81 FR 24915 (April 27, 2016) (SR-NYSE-2016-13).

**2. Amend the reference to general note 3 on page 48 of the Exhibit 5.**

The Exchange proposes to amend the cross references to the proposed general note 3 on page 48 of the Exhibit 5 to update the numbering to 4. To reflect the proposed change, the Exchange proposes to amend the text as follows (new text double underlined, deleted text in strike-through):

<u>Rebroadcasting User Fee per Multicast End User</u>  <u>See General Note <del>3</del> 4.</u>		<u>\$1,700 monthly charge for up to two multicast connections for each Multicast End User; \$850 for each additional connection for the same Multicast End User</u>
<u>Transmittal User Fee per Unicast End User</u>  <u>See General Note <del>3</del> 4.</u>		<u>\$1,500 monthly charge for up to two unicast connections for each Unicast End User; \$750 for each additional connection for the same Unicast End User</u>

All other representations in the Filing remain as stated therein and no other changes are being made.