

MEMORANDUM

TO: File No. SR-NYSE-2013-07

FROM: Christopher McLean
Office of Commissioner Luis A. Aguilar

DATE: October 17, 2013

SUBJECT: Meeting with Representatives from Broadridge Financial Solutions, Inc.

On October 11, 2013, Commissioner Luis Aguilar and Chris McLean, Counsel to Commissioner Aguilar, met with Bob Schifellite, President, Investor Communications Division of Broadridge Financial Solutions, Inc. ("Broadridge"), Chuck Callan, Vice President of Legal and Regulatory Affairs of Broadridge, Don Kittel, Managing Director of Broadridge, and their counsel, David Martin, Partner at Covington & Burling LLP, and Keir Gumbs, Partner at Covington & Burling LLP. The discussion addressed, among other things, NYSE's proposed fee schedule for proxy distribution, as set forth in the above-referenced proposed rule filing.