

MEMORANDUM

To: File No. SR-NYSE-2013-07

From: Eugene Hsia, Attorney-Adviser,
Division of Trading and Markets,
U.S. Securities and Exchange Commission

Re: Conference Call with Representatives of NYSE and its Proxy Fee
Advisory Committee, and Broadridge Financial Solutions Inc.

Date: August 1, 2013

On July 23, 2013, David Shillman and Eugene Hsia of the Division of Trading and Markets, and Raymond Be of the Division of Corporation Finance had a conference call with the following representatives of (1) NYSE and its Proxy Fee Advisory Committee: Jim Duffy, Consultant, former Chief Executive Officer of NYSE Regulation; Judy McLevey, Vice President, Corporate Actions and Market Watch, NYSE Euronext; and Steve Walsh, Director of Governance, Proxy and Advocacy, NYSE Euronext and John Carey, Vice President - Legal of NYSE Regulation and (2) Broadridge Financial Solutions Inc. (“Broadridge”): Robert Schifellite, Corporate Senior Vice President of Investment Communication Solutions, Broadridge; Donald Kittell, Managing Director, Regulatory Affairs, Broadridge; Lyell Dampeer, Corporate Vice President, U.S. Investor Communication Solutions, Broadridge; and Chuck Callan, Senior Vice President, Regulatory Affairs, Broadridge. The parties discussed NYSE’s proposed fee schedule for proxy distribution, as set forth in the above-referenced proposed rule filing, and the order instituting proceedings that the Commission issued on May 23, 2013 in connection with the proposed rule filing.