

MEMORANDUM

TO: SR-NYSE-2011-55; SR-NYSEAmex-2011-84

FROM: Alexander L. Ellenberg
Division of Trading and Markets

DATE: July 31, 2012

RE: Meeting with Representatives of CFA Institute

On Tuesday, July 24, 2012, representatives of CFA Institute (James Allen, Head, Capital Markets Policy, and Linda Rittenhouse, Director, Capital Markets Policy) met with staff from the Division of Trading and Markets (David Shillman, Associate Director, Daniel Gray, Senior Special Counsel, Andrew Madar, Senior Special Counsel, and Alexander Ellenberg, Attorney) to discuss the above referenced proposed rule filings.