

**MEMORANDUM**

**TO:** SR-NYSE-2011-55; SR-NYSEAmex-2011-84

**FROM:** Alexander L. Ellenberg  
Division of Trading and Markets

**DATE:** January 25, 2012

**RE:** Telephonic Meeting with Representatives of SIFMA and SIFMA  
Members

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On Tuesday, January 24, 2012, representatives and members of the Securities Industry and Financial Markets Association (SIFMA) spoke with staff from the Division of Trading and Markets to discuss the above referenced proposed rule filings.

SIFMA representatives and members:

Ann Vlcek and Matt Connolly  
Matthew Lavicka, The Goldman Sachs Group, Inc.  
Brett Redfearn and Matthew Connolly, J.P. Morgan  
Michael Masone, Citigroup  
Guy Cappucci, Morgan Stanley & Co. LLC  
Tom Richardson, Wells Fargo  
Gary Sjostedt, TD Ameritrade, Inc.  
Jerry O'Connell, Susquehanna International Group, LLP  
Brad Bailey and Michael Corrao, Knight Capital Group, Inc.  
Kevin Tyrrell and Justin Kletter, Bank of America Merrill Lynch  
Rich Steiner, Royal Bank of Canada

Division of Trading and Markets staff:

David S. Shillman, Assistant Director  
Stephen L. Williams, Senior Economist  
Andrew G. Madar, Senior Special Counsel  
Steve L. Kuan, Special Counsel  
Alexander L. Ellenberg, Attorney