

MEMORANDUM

TO: File Nos. SR-NSCC-2013-02 and SR-NSCC-2013-802

FROM: Division of Trading and Markets, U.S. Securities and Exchange Commission
 (“Commission”)

DATE: September 17, 2013

RE: Meeting with Representatives of the National Securities Clearing Corporation
 (“NSCC”)

On Tuesday, September 17, 2013, staff from the Commission’s Division of Trading and Markets, the Board of Governors of the Federal Reserve System, and the Federal Reserve Bank of New York met with representatives of NSCC. The topic discussed was a proposal filed by NSCC with the Commission as proposed rule change SR-NSCC-2013-02, as modified (Release Nos. 34-69313, 34-69620, 34-69951) and as advance notice SR-NSCC-2013-802, as modified (Release Nos. 34-69451, 34-69605, 34-69954).