

MEMORANDUM

TO: File Nos. SR-NSCC-2013-02 and SR-NSCC-2013-802

FROM: Division of Trading and Markets, U.S. Securities and Exchange Commission
 (“Commission”)

DATE: September 12, 2013

RE: Meeting with Representatives of The Depository Trust and Clearing Corporation
 (“DTCC”)

On Thursday, September 12, 2013, Peter Curley and Joe Kamnik of the Commission’s Division of Trading and Markets met with the following representatives of DTCC: Robert Druskin, Executive Chairman, Michael Bodson, President and CEO, Donna Milrod, Managing Director and Chief Administrator Officer , Noel Donohoe, Executive Managing Director and Chief Risk Officer, Bari Jane Wolfe, Managing Director, Regulatory Relations, Tim Cuddihy, Managing Director, Enterprise Risk Management, and Merrie Witkin, Managing Director and Deputy General Counsel of National Securities Clearing Corporation. The discussion addressed proposed rule change SR-NSCC-2013-02, as modified (Release Nos. 34-69313, 34-69620, 34-69951) and advance notice SR-NSCC-2013-802, as modified (Release Nos. 34-69451, 34-69605, 34-69954).