

MEMORANDUM

TO: File Nos. SR-NSCC-2013-02 and SR-NSCC-2013-802

FROM: Division of Trading and Markets, U.S. Securities and Exchange Commission
 (“Commission”)

DATE: August 12, 2013

RE: Meeting with Staff from the U.S. House of Representatives Committee on
 Financial Services Subcommittee on Oversight and Investigations

On Monday, August 12, 2013, Peter Curley and Anne Marie Kelley, staff of the Commission, met with Chris Russell, J.W. Verret, Uttam Dhillon, Gissle Roget, and Peter Haller, staff of the U.S. House of Representatives Committee on Financial Services Subcommittee on Oversight and Investigations. The topic discussed was a proposal filed by National Securities Clearing Corporation with the Commission as proposed rule change SR-NSCC-2013-02, as modified (Release Nos. 34-69313, 34-69620, 34-69951) and as advance notice SR-NSCC-2013-802, as modified (Release Nos. 34-69451, 34-69605, 34-69954).