

## MEMORANDUM

**TO:** File Nos. SR-NSCC-2013-02 and SR-NSCC-2013-802

**FROM:** Division of Trading and Markets, U.S. Securities and Exchange Commission  
 (“Commission”)

**DATE:** July 30, 2013

**RE:** Meeting with Representatives from ConvergEx

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On Tuesday, July 30, 2013, counsel to Commission Chair White and staff from the Commission’s Division of Trading and Markets met with representatives from ConvergEx. The topic discussed was a proposal filed by National Securities Clearing Corporation with the Commission as proposed rule change SR-NSCC-2013-02, as modified (Release Nos. 34-69313, 34-69620, 34-69951) and as advance notice SR-NSCC-2013-802, as modified (Release Nos. 34-69451, 34-69605, 34-69954).