

JONATHAN CAYNE SENIOR ASSOCIATE GENERAL COUNSEL 805 KING FARM BOULEVARD ROCKVILLE, MD 20850 P: F: E:

May 3, 2017

Brent J. Fields Secretary U.S. Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090

Re: Partial Amendment No. 4 (SR-NASDAQ-2017-029)

Dear Mr. Fields:

The NASDAQ Stock Market LLC filed the above-referenced filing on May 3, 2017.

Sincerely,

Conathan F. Cayne

Jonathan Cayne Senior Associate General Counsel

OMB Number: 3235-0045 Estimated average burden hours per response								
Page 1 o	of * 3		WASHIN	EXCHANGE COMMI GTON, D.C. 20549 Form 19b-4		File No. ndment No. (req. for	* SR - 2017 - * 029 Amendments *) 4	
Filing by NASDAQ Stock Market Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934								
Initial *	Amer V	ndment *	Withdrawal	Section 19(b)(2) *	Secti	on 19(b)(3)(A) * Rule	Section 19(b)(3)(B) *	
Pilot		f Time Period sion Action *	Date Expires *		 19b-4(1) 19b-4(1) 19b-4(1) 	f)(2) 🔲 19b-4(f)(5)		
Notice	of proposed cl	nange pursuan	to the Payment, Clea	ring, and Settlement Ac	t of 2010		ap Submission pursuant	
Sectior	n 806(e)(1) *		Section 806(e)(2)	*		to the Securities Ex Section 3C(b)	-	
Exhibit 2 Sent As Paper Document Exhibit 3 Sent As Paper Document								
Description								
Provide a brief description of the action (limit 250 characters, required when Initial is checked *).								
Contact Information Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.								
	lame * Jonath			Last Name * Cayne				
	Title * Senior Associate General Counsel							
E-mail Teleph			Fax					
Signature Pursuant to the requirements of the Securities Exchange Act of 1934,								
has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized. (Title *)								
Date	05/03/2017			Executive Vice Presid	dent and Ge	eneral Counsel		
By Edward S. Knight								
(Name *) NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.								

OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549						
For complete Form 19b-4 instructions please refer to the EFFS website.						
Form 19b-4 Information * Add Remove View	The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.					
Exhibit 1 - Notice of Proposed Rule Change * Add Remove View	The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)					
Exhibit 1A- Notice of Proposed Rule Change, Security-Based Swap Submission, or Advance Notice by Clearing Agencies * Add Remove View	The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)					
Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications Add Remove View Exhibit Sent As Paper Document	Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.					
Exhibit 3 - Form, Report, or Questionnaire Add Remove View Exhibit Sent As Paper Document	Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.					
Exhibit 4 - Marked CopiesAddRemoveView	The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.					
Add Remove View	The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.					
Partial Amendment Add Remove View	If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.					

Partial Amendment No. 4 to SR-NASDAQ-2017-029

The NASDAQ Stock Market LLC is filing this Partial Amendment No. 4 to SR-NASDAQ-2017-029 Amendment No. 2, which superseded the original filing in its entirety, to make the following changes to the pending 19b-4 and Exhibit 1:

 In the last full sentence of the second full paragraph on page 17 of 55 of the 19b-4, as well as in the last full sentence of the second full paragraph on page 43 of 55 of the Exhibit 1, replace the words "ensure that the Composition File will implement and maintain, or be subject to," with "implement and maintain, or ensure that the Composition File will be subject to,".

The proposal SR-NASDAQ-2017-029, as amended, remains consistent with the Securities Exchange Act of 1934, and specifically with Section 6(b)(5) because it makes a clarifying change to the filing and does not make any changes that are unique or novel. Partial Amendment 4 specifically clarifies that the Reporting Authority will implement and maintain, or ensure that the Composition File will be subject to, procedures designed to prevent the use and dissemination of material non-public information regarding the Fund's portfolio positions and changes in the positions.