

MEMORANDUM

TO: File Nos. SR-NASDAQ-2016-135, SR-BatsBZX-2016-80, SR-NYSEArca-2017-01

FROM: Yue Ding

DATE: February 28, 2017

RE: Meeting with Representatives from the Investment Company Institute (“ICI”), Invesco PowerShares Capital Management LLC (“PowerShares”), and State Street Global Advisors (“SSgA”)

On February 24, 2017, David Shillman, Michael Coe, Molly Kim, Christopher Chow, Benjamin Brady, and Yue Ding from the Division of Trading and Markets held a meeting with representatives from ICI, PowerShares, and SSgA. The ICI representatives were David Blass, Jane Heinrichs, and Ken Fang. The PowerShares representative was Anna Paglia. The SSgA representative was Jim Ross. The discussion related to rule filings for continued listing standards for Exchange-Traded Products.