

MEMORANDUM

TO: File Nos. SR-NASDAQ-2016-135, SR-BatsBZX-2016-80, SR-NYSEArca-2017-01

FROM: Yue Ding

DATE: February 16, 2017

RE: Telephonic Meeting with Representatives from the Investment Company Institute (“ICI”)

On February 13, 2017, David Shillman and Yue Ding from the Division of Trading and Markets held a telephonic meeting with representatives from ICI. The ICI representatives were David Blass, Jane Heinrichs, and Kenneth Fang. The discussion related to rule filings for continued listing standards for Exchange-Traded Products.