

MEMORANDUM

TO: File No. SR-NASDAQ-2016-120

FROM: Katherine A. England
Division of Trading and Markets

DATE: November 10, 2016

SUBJECT: Meeting with the Nasdaq Stock Market LLC

On November 9, 2016, representatives from the Securities and Exchange Commission's Division of Trading and Markets (John C. Roeser, Katherine A. England, Mia Zur, Nicholas Shwayri, Andrew Sioson and Marlene Olsen) met with representatives of the Nasdaq Stock Market LLC ("Nasdaq") (Jeff Davis, Sean Bennett, Walt Smith, Richard Mitterando, and Mark Silber. Participants in the meeting discussed issues associated with comments received in response to proposed rule change SR-Nasdaq-2016-120.