

MEMORANDUM

TO: SR-NASDAQ-2012-090: Notice of Filing of Proposed Rule Change to Amend Rule 4626 - Limitation of Liability

FROM: Cristie L. March, Counsel
Office of the Chairman

DATE: October 25, 2012

SUBJECT: NASDAQ OMX

On October 25, 2012, Chairman Schapiro, Didem Nisanci, Cristie March and Robert Cook met with Robert Greifeld (CEO, NASDAQ OMX) and Edward Knight (Executive Vice President, General Counsel and Chief Regulatory Officer, NASDAQ OMX) to discuss NASDAQ's rule change filing regarding limitation of liability, as well as high frequency trading, market segmentation, and exchange and broker-dealer obligations.