

MEMORANDUM

TO: File No. SR-NASDAQ-2012-090
FROM: Charles Sommers, Division of Trading and Markets
DATE: September 21, 2012
SUBJECT: Meeting with Representatives of Citigroup Global Markets Inc.

On September 19, 2012, Division of Trading and Markets staff (David Shillman, Theodore Venuti, Johnna Dumler, Yue Ding, and Charles Sommers) met with representatives of Citigroup Global Markets Inc. (Daniel Keegan, Michael J. Masone, and Jeff Martin) regarding The NASDAQ Stock Market LLC's proposal to amend Rule 4626—Limitation of Liability.