

## MEMORANDUM

**To:** SR-NASDAQ-2012-090

**Date:** September 13, 2012

**From:** Jaime Klima, Counsel to Commissioner Troy A. Paredes

**Re:** Meeting with Representatives of NASDAQ OMX

---

On September 11, 2012, Troy A. Paredes, Commissioner, along with Jaime Klima, Counsel to the Commissioner, met with Ed Knight and Eric Noll of NASDAQ. The representatives of NASDAQ discussed, among other things, The NASDAQ Stock Market LLC's filing of a proposed rule change to amend Rule 4626 (Limitation of Liability).