

MEMORANDUM

TO: File No. SR-NASDAQ-2008-104

FROM: Theodore S. Venuti
Division of Trading and Markets

Date: November 19, 2009

Re: Meeting with BNY ConvergeX

On November 18, 2009, staff from the Division of Trading and Markets met with Laura Pruitt, Schiff Hardin LLP, and the following representatives of BNY ConvergeX Group: Lee Schneider, General Counsel, Joseph Virgilio, Managing Director, Strategy and Technology Planning, Craig Viani, Managing Director, Joseph N. Cangemi, Managing Director and Head of Equity Sales and Trading, Barclay Frey, Managing Director. The discussion included, among other things, The NASDAQ Stock Market LLC's proposal to adopt a modified sponsored access rule (SR-NASDAQ-2008-104).