

## M E M O R A N D U M

**TO:** File No. SR-MSRB-2023-01

**FROM:** Office of Municipal Securities (“OMS”), U.S. Securities and Exchange Commission (“Commission”)

**RE:** Meeting with Representatives of National Association of Municipal Advisors

**DATE:** March 14, 2023

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On Tuesday, March 14, 2023, OMS staff had a video conference with representatives of National Association of Municipal Advisors (“NAMA”), including NAMA staff and members. The representatives were: Susan Gaffney, Executive Director, NAMA; Marianne Edmonds, Director at Large, NAMA, and Senior Managing Director, Public Resources Advisory Group; Brian Reilly, Senior Municipal Advisor & Managing Director, Ehlers, Inc.; Jerry Ford, President, Ford and Associates; Jonathan Ford, Senior Vice President, Ford and Associates; William Reed, Senior Vice President, Ford and Associates; Seema Mohanty, Managing Director, Mohanty Gargiulo LLC; and Michael Sudsina, President, Sudsina & Associates LLC. Among other things, the meeting participants discussed a proposal filed with the Commission by the Municipal Securities Rulemaking Board (Release No. 34-96840; File No. SR-MSRB-2023-01).