

## MEMORANDUM

**TO:** File No. SR-MSRB-2015-03

**FROM:** Tim Weiler  
Office of Municipal Securities

**DATE:** October 15, 2015

**RE:** Meeting with Representatives of the Securities Industry and Financial Markets Association (“SIFMA”)

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On October 15, 2015, Jessica S. Kane, Rebecca Olsen, Mary Simpkins, Cori Shepherd, and Tim Weiler from the Office of Municipal Securities met with representatives from SIFMA. The representatives were:

- Leslie Norwood, SIFMA
- Michael Decker, SIFMA
- Rebecca Lawrence, Piper Jaffray Companies
- Hank Morgan, Raymond James & Associates, Inc.
- David Cohen, RBC
- Ben Juergens, Morgan Stanley
- Leon Bijou, Jefferies LLC

The participants discussed the Municipal Securities Rulemaking Board’s proposed new rule G-42, on duties of non-solicitor municipal advisors.