

MEMORANDUM

TO: Official File for SR-MSRB-2013-05
FROM: David Dimitrious
RE: SR-MSRB-2013-05
DATE: August 28, 2013

On August 27, 2013, John Cross, Director, Office of Municipal Securities, had a teleconference with Leslie Norwood, Managing Director and Associate General Counsel, SIFMA. The topics discussed were the MSRB's proposed rule change relating to retail order periods, SR-MSRB-2013-05, and the public comments submitted to the Commission on such filing.