

## MEMORANDUM

**TO:** File No. SR-IEX-2019-15

**FROM:** Adam T. Moore, Senior Counsel  
Office of the Investor Advocate  
U.S. Securities and Exchange Commission

**DATE:** January 21, 2020

**RE:** Meeting with Representatives of Investors Exchange LLC

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On Monday, January 13, 2020, Rick Fleming, Investor Advocate, Marc Sharma, Chief Counsel, and Adam T. Moore, Senior Counsel, from the Office of the Investor Advocate met with representatives from Investors Exchange LLC, including Ronan Ryan, President, John Ramsay, Chief Market Policy Officer, and Brannum Forsyth, Business Operations.

Among the topics discussed was the proposed rule change in File No SR-IEX-2019-15.