

MEMORANDUM

TO: SR-IEX-2019-15 File

FROM: Richard Holley
Office of Market Supervision, Division of Trading and Markets

DATE: January 13, 2020

SUBJECT: Meeting with Representatives of Investors Exchange LLC

On January 13, 2020, Brett Redfearn, Christian Sabella, John Roeser, David Saltiel, Michael Coe, Richard Holley, Dan Gray, Arun Manoharan, Kelly Riley, Ted Uliassi, and Mark Donohue from the Division of Trading and Markets met with the following individuals from Investors Exchange LLC: John Ramsay, Ronan Ryan, and Brannum Forsyth. The discussion concerned, among other things, a proposed rule change (SR-IEX-2019-15) to adopt a new discretionary limit order typed called a “D-Limit” order.