MEMORANDUM

To: File No. SR-FINRA-2019-008

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Telephone Call with Representatives of the Committee on Capital Markets Regulation

On December 11, 2020, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a telephone call with the following representatives of the Committee on Capital Markets Regulation:

- Hal Scott,
- John Gulliver, and
- Jonathan Ondrejko.

Among the topics discussed was a proposed rule change by FINRA to establish a new issue reference data service for corporate bonds.