

MEMORANDUM

TO: File No. SR-FINRA-2019-008

FROM: David Dimitrious
Senior Special Counsel
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: December 2, 2020

SUBJECT: Meeting with Representatives of the Financial Industry Regulatory Authority, Inc.
("FINRA")

On December 2, 2020, Brett Redfearn, Elizabeth Baird, David Shillman, Andrea Orr, David Dimitrious, Thomas Eady, Sarah Schandler, and David Garcia from the Division of Trading and Markets, Kay Smith in the Office of Chairman Jay Clayton, Richard Gabbert in the Office of Commissioner Hester M. Peirce, Benjamin Bernstein in the Office of Elad L. Roisman, Eric Juzenas in the Office of Commissioner Allison Herren Lee, Adam Large and Robert Cobbs in the Office of Commissioner Caroline Crenshaw, and Hans Heidle and Dermot Murphy from the Division of Economic and Risk Analysis, met with the following individuals from FINRA via teleconference:

Robert Cook
Robert Colby
Jonathan Sokobin
Stephanie Dumont
Alex Ellenberg
Gregory J. Dean Jr.
Cory Claussen
Ola Persson
Kosha Dalal
Michael Garawski
Patrice M. Gliniecki

The discussion concerned, among other things, a proposed rule change by FINRA to establish a new issue reference data service for corporate bonds [SR-FINRA-2019-008].