

MEMORANDUM

To: File

From: Andrew Green
Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: February 9, 2015

Re: Discussion with OTC Link

On Friday, January 23, 2015, Andrew Green, staff to Commissioner Stein, spoke with Cromwell Coulson and Daniel Zinn.

Among the topics discussed were the FINRA Rulemaking Order Instituting Proceedings to Determine Whether to Approve or Disapprove a Proposed Rule Change Relating to Quotation Requirements for Unlisted Equity Securities and Deletion of the Rules Related to the OTC Bulletin Board Service.

(Release No. 34-73313; File No. SR-FINRA-2014-030)