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May 1, 2014

Via Email Only

rule-comments@sec.gov

Office of the Secretary
Securities and Exchange Commission
100 F Street NE
Washington, D.C. 20549-1090

Re: SR-FINRA-2014-020

**Notice of Filing of Proposed Rule Changed to Adopt FINRA Rule 2081
(Prohibited Conditions Relating to Expungement of Customer Dispute
Information)**

To the Office of the Secretary:

I am a partner at Aidikoff, Uhl and Bakhtiari, a law firm devoted to the representation of individuals and institutions in disputes with Wall Street and the financial services industry. I am a former President of the Public Investors Arbitration Bar Association (PIABA) and a past Chair of FINRA's National Arbitration and Mediation Committee (NAMC). I also served as a member of the Securities Industry Conference on Arbitration (SICA) as well as the SIPC Modernization Task Force.

The purpose of this letter is to support the above referenced rule proposal. The information in the CRD system is an important component in the overall regulatory scheme and must be both accurate and transparent. In addition, when customers access either the CRD system or FINRA's Broker Check they should be able to determine the complaint history of individuals who are being entrusted with significant sums of money. Broker Dealers are routinely conditioning a settlement offer on the customer either agreeing to expungement of the involved claim, or at least a promise not to oppose it. This practice is contrary to the goal of investor protection as well as principles of fundamental fairness.

I urge the Commission to approve Rule 2081 on an expedited basis.

Very truly yours,

AIDIKOFF, UHL & BAKHTIARI



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