

## MEMORANDUM

**To:** File

**From:** Andrew Green  
Counsel to Commissioner Kara Stein  
U.S. Securities and Exchange Commission

**Date:** February 9, 2015

**Re:** Discussion with OTC Link

On Friday, January 23, 2015, Andrew Green, staff to Commissioner Stein, spoke with Cromwell Coulson and Daniel Zinn.

Among the topics discussed were Notice of Filing and Immediate Effectiveness of a Proposed Rule Change to Amend FINRA Rule 6432.

(Release No. 34-71720; File No. SR-FINRA-2014-011)