

MEMORANDUM

To: File No. SR-FINRA-2013-42

From: Jaime Klima, Counsel to Commissioner Michael S. Piwowar

Re: Meeting with Representatives of IEX Services LLC

On March 11, 2014, Michael S. Piwowar and Daniel M. Gallagher, Commissioners, Jaime Klima, Counsel to Commissioner Piwowar, and Joseph Levinson, Counsel to Commissioner Gallagher, met with the following representatives of IEX:

- Bradley Katsuyama, IEX
- Donald Bollerman, IEX
- John Schwall, IEX
- Eric Hess, Hess Legal Counsel LLC

Among the topics discussed was FINRA's proposal to require ATSs to report volume information to FINRA and to use a unique market participation identifier.