

Memorandum

To: File No. SR-FINRA-2009-077
From: Cyndi Rodriguez, Counsel
Office of Commissioner Elisse B. Walter
Date: April 15, 2010
Re: Meeting with Representatives of FINRA

On April 15, 2010, FINRA representatives Richard G. Ketchum, Chairman and CEO; Steve Luparello, Steve Joachim, Executive Vice President; and Julie W. Bauer, Vice President met with Commissioner Walter and her counsel, Cyndi Rodriguez. Among the topics discussed was FINRA's rule proposal relating to the restructuring of quotation collection and dissemination for OTC equity securities. (see Release No. 34- 60999).