MEMORANDUM

To: File Nos. SR-FICC-2024-005; SR-FICC-2024-006; SR-FICC-2024-007

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of Alternative Investment Management Association (AIMA)

On August 5, 2024, Commissioner Hester Peirce and her legal advisors, Sumeera Younis and Richard Gabbert, participated in a meeting with the following individuals from AIMA:

- Daniel Austin, Head of U.S. Markets Policy and Regulation, AIMA;
- Joe Engelhard, Head of Private Credit and Asset Management (Americas), AIMA;
- Matt Lillvis, Deputy General Counsel, Millennium Management;
- Samantha DeZur, Head of Public Policy, Millennium Management; and
- Boris Zeldin, CCO, LMR Partners

Among the topics discussed at the meeting were issues related to FICC's proposed rule changes to implement requirements imposed by the Commission's treasury clearing rule.