

MEMORANDUM

To: File Nos. SR-FICC-2024-801; SR-FICC-2024-003; SR-FICC-2024-802;
SR-FICC-2024-007; SR-FICC-2024-005

From: Office of Clearance and Settlement, Division of Trading and Markets

Re: Meeting with Representatives of the Independent Dealer and Trader Association
("IDTA")

Date: May 7, 2024

On Thursday, April 25, 2024, staff of the Commission's Division of Trading and Markets and Division of Economic and Risk Analysis ("DERA") participated in a conference call with IDTA representatives and members. Participating on the call from the Commission staff were Haoxiang Zhu, John Prochilo, Michael Macchiaroli, Jessica Wachter, Jeff Mooney, Elizabeth Fitzgerald, Viktoria Baklanova, Randall Roy, Burt Porter, Heather Percival, and Robert Zak. Participating on the call from IDTA were Micah Green (Steptoe & Johnson LLP), Jack Buttarrazi (Steptoe & Johnson LLP), Vito Arethusa (Steptoe & Johnson LLP), Ryan Hayden (Steptoe & Johnson LLP), James Tabacchi (South Street Securities, LLC), Stephanie Pucci (South Street Securities, LLC), Scott Skyrn (Curvature Securities, LLC), Todd Pigott (Curvature Securities, LLC), Stephen Hood (Marex), Richard Misiano (Buckler Securities, LLC), Gregory Minard (Buckler Securities, LLC), Lara Hernandez (Mirae Assets Securities (USA) Inc.), Philip Vandermause (TransMarket Group), Michael Santoro (Loop Capital Holdings), Dillon Confalone (Loop Capital Holdings), Christopher McAleer (Loop Capital Holdings), and Richard Mackey (Prime Trading LLC).

The general topics discussed included proposals filed by the Fixed Income Clearing Corporation with the Commission as proposed rule changes SR-FICC-2024-003, SR-FICC-2024-005, SR-FICC-2024-007 (Release Nos. 34-99710, 34-99817, 34-99844) and as advance notices SR-FICC-2024-801 and SR-FICC-2024-802 (Release Nos. 34-99712, 34-99845).