

MEMORANDUM

TO: File Nos. SR-FICC-2017-001 and SR-FICC-2017-801

FROM: Division of Trading and Markets, U.S. Securities and Exchange Commission
("Commission")

DATE: February 28, 2017

RE: Meeting with Representatives of ED&F Man Capital Markets Inc. ("EDF"),
Rosenthal Collins Group, LLC ("Rosenthal"), and South Street Securities, LLC
("South Street")

On Tuesday, February 28, 2017, staff of the Commission's Division of Trading and Markets had a conference call with Messrs. Arthur Certosimo, South Street; Richard Mackey, Rosenthal; James Tabacchi, South Street; and Joseph Weinhoffer, EDF. The call was held at the request of Mr. Tabacchi. The topic discussed was a proposal filed by the Fixed Income Clearing Corporation with the Commission as proposed rule change SR-FICC-2017-001 (Release No. 34-79958) and as advance notice SR-FICC-2017-801 (34-80139).