

MEMORANDUM

TO: File Nos. SR-FICC-2014-810; SR-NSCC-2014-811; SR-DTC-2014-812

FROM: Division of Trading and Markets, U.S. Securities and Exchange Commission
 (“Commission”)

DATE: December 12, 2014

RE: Meeting with Representatives of the Depository Trust and Clearing Corporation
 (“DTCC”)

On Friday, December 5, 2014, Steve Luparello, Peter Curley, Susan Petersen, and Carson McLean, staff of the Commission’s Division of Trading and Markets, met with Robert Druskin, Michael Bodson, Donna Milrod, Larry Thompson, and Bari Wolfe, representatives of DTCC. One topic discussed was a proposal filed by DTCC with the Commission as advance notices SR-FICC-2014-810, SR-NSCC-2014-811, and SR-DTC-2014-812, as amended (Release No. 34-73755). The attached agenda was provided in advance by DTCC.



55 Water Street
New York, NY 10041-0099

**DTCC Meeting with SEC – Stephen Luparello
December 5, 2014 at 12:30 p.m.**

AGENDA

- **Finance and Capital**
- **Key Risk Management Initiatives**
- **Clearing, Settlement and Processing**
- **Other Areas of Focus/Initiatives**
- **Thought Leadership**