

MEMORANDUM

TO: SR-NYSEArca-2021-90, SR-NYSEARCA-2023-44, SR-NYSEARCA-2023-58, SR-NASDAQ-2023-016, SR-NASDAQ-2023-019, SR-CboeBZX-2023-028, SR-CboeBZX-2023-038, SR-CboeBZX-2023-040, SR-CboeBZX-2023-042, SR-CboeBZX-2023-044, SR-CboeBZX-2023-072

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Americans for Financial Reform

DATE: January 4, 2024

On January 4, 2024, counsels to Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, Laura D’Allaird and Diem-Mi Lu, met with the following representatives of Americans for Financial Reform:

- Mark Hays, Senior Policy Analyst, Fintech
- Andrew Park, Senior Policy Analyst, Hedge Funds & Private Equity

Among the topics discussed was the Commission’s consideration of several proposed rule change filings relating to spot bitcoin-based ETPs.