



## Form CRS and Form ADV Disclosure Documents, Additional Disclosure Documents, Privacy Notices, ERISA Section 408(b)(2) Disclosure Documents, Qualified Professional Asset Manager (QPAM) Exemption Disclosure Documents, and Trade Away Information\*

You may view and print the Client Relationship Summary ("Form CRS"), Form ADV Part 2 disclosure document (the "ADV Disclosure Documents") and privacy notices (the "Privacy Notices") for (i) Citigroup Global Markets Inc. ("CGMI"), and (ii) specific third-party investment advisers/sub-managers (collectively, "Investment Advisers") by clicking on the relevant links below.

For third-party investment advisers/sub-managers, the Privacy Notices and the 408(b)(2) Disclosures (if applicable and as provided by the third-party investment advisers/sub-managers) are included as part of, or attached to the end of, their ADV Disclosure Documents.

You may also view and print the qualified professional asset manager (QPAM) exemption disclosure documents for Citigroup Inc. and its affiliates, which include (a) Notice Regarding Granted Individual Prohibited Transaction Exemption for Citigroup Inc. and its Affiliates, (b) Proposed 5-Year QPAM Exemption, (c) Final 5-Year QPAM Exemption, and (d) Technical Corrections to Final 5-Year QPAM Exemption, (e) Proposed Exemption for Certain Prohibited Transaction Restrictions Involving Citigroup, Inc. - November 16, 2022, and (f) Summary of QPAM Policies and Procedures.

CGMI has also collected information from Investment Managers about their "trading away" practices. You may view and print this information by clicking on the relevant link below. This information has not been independently verified by CGMI and CGMI does not make any representation as to its accuracy.

Please review these documents as they provide important information about each Investment Adviser.

To view or print the documents, you will need to obtain the Adobe Reader, which is available free of charge at Adobe's website ([www.adobe.com](http://www.adobe.com)) and install it on your computer.

For more information, please contact your Advisor or CGMI representative.

### Client Relationship Summary (Form CRS)

Citigroup Global Markets Inc.
<a href="#">CGMI FORM CRS</a>

### ADV Disclosure Documents and Privacy Notices in PDF Format

CGMI Investment Advisory Programs Form ADV (CPWM/CPPI/CWA)	<a href="#">CPWM/CPPI/CWA Client CGMI ADV</a>
CGMI Citi Wealth Builder Program Form ADV	<a href="#">CPWM Citi Wealth Builder Client ADV</a>
CPWM and CPPI Financial Planning Descriptive Brochure	<a href="#">CPWM Client Financial Planning CGMI ADV</a>
Privacy Notices	<a href="#">CPWM</a> <a href="#">CPPI</a>
Bank Deposit Program (BDP) Disclosure Statement	<a href="#">Bank Deposit Program (BDP) Disclosure Statement</a>
ERISA Section 408(b)(2) Disclosures	<a href="#">ERISA Section 408(b)(2) Disclosure Document Citi Investment Advisory Services</a> <a href="#">ERISA Section 408(b)(2) web site</a> (for all Citi products and services)
Trade Away Information	<a href="#">Information About Investment Managers Trading Away in Investment Advisory Programs</a>
Qualified Professional Asset Manager(QPAM) Exemption Disclosure Documents	<a href="#">Notice Regarding Granted Individual Prohibited Transaction Exemption for Citigroup Inc. and its Affiliates</a> <a href="#">Proposed 5- Year QPAM Exemption</a> <a href="#">Final 5-Year QPAM Exemption</a> <a href="#">Technical Corrections to Final 5-Year QPAM Exemption</a> <a href="#">Proposed Exemption for Certain Prohibited Transaction Restrictions Involving Citigroup, Inc. - November 16, 2022</a> <a href="#">Final Exemption for Certain Prohibited Transactions Involving Citigroup, Inc. - January 23, 2023</a> <a href="#">Summary of QPAM Policies and Procedures</a>

### Third Party Investment Advisers

12th Street Asset Management Company, LLC | Form CRS  
 AllianceBernstein L.P. | Form CRS  
 Allspring Funds Management, LLC and Allspring Global Investment, LCC | Form CRS  
 Anchor Capital Advisors LLC | Form CRS  
 Aristotle Capital Management, LLC | Form CRS  
 Aristotle Credit Partners, LLC | Form CRS  
 Atalanta Sosnoff Capital LLC | Form CRS  
 Atlanta Capital Management Company, LLC | Form CRS  
 Baron Capital Management, Inc. | Form CRS  
 Belle Haven Investments, LP. | Form CRS  
 BlackRock Investment Management, LLC | Form CRS  
 Boston Common Asset Management | Form CRS  
 Boston Partners Global Investors, Inc. (formerly Robeco) | Form CRS  
 Brandes Investment Partners, L.P. | Form CRS  
 Bristol Gate Capital Partners Inc. | Form CRS  
 Brown Advisory, LLC | Form CRS  
 Cambiar Investors LLC | Form CRS  
 Capital Research and Management Company | Form CRS  
 Charles Schwab Investment Management, Inc. | Form CRS  
 Cincinnati Asset Management, Inc. | Form CRS  
 ClearBridge Investments, LLC | Form CRS  
 Columbia Management Investment Advisers, LLC | Form CRS  
 Confluence Investment Management | Form CRS  
 Cullen Capital Management, Inc. | Form CRS  
 Cushing Asset Management dba NXG Investment Management | Form CRS  
 Dimensional Fund Advisors LP | Form CRS  
 Dolan McEniry Capital Management, LLC | Form CRS  
 Earnest Partners, LLC | Form CRS  
 Eaton Vance Management | Form CRS  
 Federated Investment Counseling | Form CRS  
 Fiera Capital | Form CRS  
 Franklin Advisers, Inc. (through FTPPG) | Form CRS  
 Glenmede Investment Management LP | Form CRS  
 Goldman Sachs Asset Management | Form CRS  
 Goldman Sachs & Co. LLC (For Structured Notes Strategies) | Form CRS  
 Great Lakes Advisors, LLC | Form CRS  
 GW&K Investment Management, LLC | Form CRS  
 Harding Loevner LP | Form CRS  
 Hudson Edge (formerly HGK) | Form CRS  
 Income Partners Asset Management (HK) Limited  
 Invesco Advisers, Inc. (equity) | Form CRS  
 Invesco Managed Accounts, LLC (fixed income) | Form CRS  
 Jennison Associates, LLC | Form CRS  
 Janus Capital Management, LLC | Form CRS  
 Kayne Anderson Rudnick Investment Management | Form CRS  
 Lazard Asset Management LLC | Form CRS  
 Franklin Templeton Private Portfolio Group (formerly Legg Mason PPG) | Form CRS  
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 Loomis, Sayles & Company, L.P. | Form CRS  
 Lord, Abnett & Co., LLC | Form CRS  
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 Penn Capital Management Company, Inc. | Form CRS  
 PIMCO (Pacific Investment Management Company LLC) | Form CRS  
 Polen Capital Management, LLC | Form CRS

[Principal Global Investors \(covers Edge Asset Mgt.\) | Form CRS](#)  
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**Santa Barbara Asset Management (under Nuveen Asset Mgt.)**

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[Seizert Capital Partners, LLC | Form CRS](#)  
[Spectrum Asset Management, Inc. | Form CRS](#)  
[The London Company of Virginia, LLC | Form CRS](#)  
[Thornburg Investment Management, Inc | Form CRS](#)  
[Vaughan Nelson Investment Management, L.P. \(under Natixis\) | Form CRS](#)

**Wasmer, Schroeder & Company (Under Charles Schwab) | Form CRS**

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[Western Asset Management Company | Form CRS](#)  
[William Blair Investment Management, LLC | Form CRS](#)  
[Winslow Capital Management, LLC | Form CRS](#)  
[Zacks Investment Management, Inc. | Form CRS](#)  
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