

MEMORANDUM

To: File Nos. SR-BOX-2019-19; SR-BOX-2019-37

From: Ben Bernstein, Counsel to Commissioner Elad Roisman

Re: Meeting with Representatives of the Boston Security Token Exchange

On April 3, 2020, Richard Gabbert, Counsel to Commissioner Hester Peirce, and Ben Bernstein, Counsel to Commissioner Elad Roisman, spoke with the following representatives of the Boston Security Token Exchange (“BSTX”):

- Lisa Fall, BSTX
- Chris Zaremba, BSTX
- Andrew Blake, Sidley Austin
- Elizabeth Marino, Sidley Austin
- Charlie Sommers, Sidley Austin
- Andrew Sioson, Sidley Austin

The discussion covered BOX’s proposed rule changes SR-BOX-2019-19 and SR-BOX-2019-37.