

## MEMORANDUM

**To:** File Nos. SR-BOX-2019-19 and SR-BOX-2019-37  
**From:** Kay Smith, Senior Advisor to Chairman Jay Clayton  
**Date:** January 16, 2020  
**Re:** Meeting with Representatives of Boston Security Token Exchange LLC

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On January 8, 2020, Kay Smith and Alan Cohen participated in a meeting with the following representatives of Boston Security Token Exchange LLC (“BSTX”):

- Lisa Fall, BSTX;
- Chris Zaremba, BSTX;
- Andrew Blake, Sidley Austin LLP;
- Elizabeth Marino, Sidley Austin LLP;
- Andrew Sioson, Sidley Austin LLP; and
- Charles Sommers, Sidley Austin LLP.

On January 16, 2020, Kay Smith participated in a call with Andrew Blake and Elizabeth Marino of Sidley Austin LLP.

File Nos. SR-BOX-2019-19 and SR-BOX-2019-37 were discussed, among other things.