

MEMORANDUM

To: SR-BOX-2019-19; SR-BOX-2019-37

From: Nicholas J. Losurdo
Counsel to Commissioner Elad L. Roisman

Date: January 9, 2020

Re: Meeting with Representatives of the Boston Security Token Exchange

On January 7, 2020, Commissioner Elad L. Roisman and his Counsel Nicholas Losurdo met with the following representatives of the Boston Security Token Exchange (“BSTX”):

- Lisa Fall, BSTX
- Chris Zaremba, BSTX
- Andrew Blake, Sidley Austin
- Elizabeth Marino, Sidley Austin
- Charles Sommers, Sidley Austin
- Andrew Sioson, Sidley Austin

The meeting covered BOX’s proposed rule changes to commence operations of BSTX as a facility of the exchange and to adopt rules governing the trading of equity securities on the exchange through BSTX.