

MEMORANDUM

TO: File Nos. S7-02-10
 S7-11-10
 SR-BATS-2010-018
 SR-BX-2010-044
 SR-NASDAQ-2010-079
 SR-NSX-2010-08
 SR-NYSE-2010-49
 SR-NYSEArca-2010-61
 SR-NYSEAmex- 2010-63
 SR-ISE-2010-66
 SR-EDGA-2010-05
 SR-EDGX-2010-05
 SR-CBOE-2010-065
 SR-CHX-2010-14
 SR-FINRA-2010-033
 SR-NYSEArca-2010-67

FROM: Alicia F. Goldin
 Office of Commissioner Elisse B. Walter

DATE: July 19, 2010

On July 14, 2010, Commissioner Elisse B. Walter and Alicia F. Goldin, Counsel to the Commissioner, met with the following individuals representing the Security Traders Association (“STA”):

Brett F. Mock, Managing Director, BTIG; STA Chairman
Joseph N. Cangemi, BNY ConvergEx Group; STA Vice Chairman
Joel G. Oswald, Williams & Jensen, PLLC

The discussion included, among other things, issues related to the Commission’s Concept Release on Equity Market Structure, the Commission’s proposal regarding a Consolidated Audit Trail, rule filings by the equities exchanges to expand the list of securities subject to single-stock circuit breakers, and a rule filing by NYSE Arca to establish trading collars for market orders.