

From:

Sent: Monday, March 03, 2014 9:08 PM

To: OFM Performance and Planning

Subject: SEC new strategic plan

Hello. My name is XXXX XXXX and in July and September of last year I contacted first the SEC in Washington DC and then from there was advised to contact Eric Bustillo at the SEC Miami Division about a whistle-blowing complaint I had against several people in Florida. It also involved my filing a complaint against a Florida attorney with the Florida Board of Bar Overseers.

By February of this year I had heard minimally from the Bar and nothing from either division of the SEC. I mean NOTHING!

I then drafted a letter to the governor of the state of Florida and copied the mayor of Sarasota, FL, where the complaint generated and also copied every attorney and official I have addressed through this effort and from whom I received either rudeness or nothing, including Mr. Bustillo.

Last week, undoubtedly in response to this letter, I received a call from a Ms. Doreen Mosiphore from the Miami SEC division, apparently in an attempt to perform damage control.

We finally talked today and she referenced my letter to the governor of February 14 of this year. I then asked her if she had my original packet to the SEC and the Florida Bar which in total comprised about 40 pages of corroborating documents.

She said she did have it but then proceeded to ask me questions about one of the "players" in my complaint but if she actually had the packet she would have known the information she sought was in the packet itself.

I had to surmise that, since the original call I received last year advising me to reroute my complaint to Miami, also advised me that SEC doesn't handle complaints such as mine, I may very well have not gotten a response for that single reason.

However, ironically my daughter is in college and writing a paper on the SEC's new financial and accounting perspective so I quickly learned the SEC may in fact be VERY Interested in what I have to say and in my complaint.

However, it appears that not all your employees are fully aware of your new perspective and mandates, particularly Mr. Bustillo in Miami.

Attached is my letter to the Governor Rick Scott. I am hoping that someone with some intelligence can find my packet sent to Mr. Bustillo and review the data within. It involves Quest Financial and Insurance Services and the practice in Florida of having notaries public deliver and notarize wealth protecting trusts to the elderly, enticing them to sign their wealth to family members and then selling them insurance policies at the same time.

The scam is much more complex than that and there are more people involved, who I can name, and I believe it is standard practice in Florida. It needs to be stopped.

Please, please contact me with some definitive data, a proactive stance and some collaborative talk...not just an attempt to cover the huge blunder of ignoring me for months.

XXXX XXXX

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