

MEMORANDUM

TO: File No. S7-45-10

FROM: Cyndi N. Rodriguez
Counsel to Commissioner Walter
Office of Commissioner Walter

DATE: December 13, 2011

RE: Meeting with Representative of the Association of Governing Boards of
Universities and Colleges (AGB)

On December 12, 2011, Commissioner Elisse B. Walter and her counsel, Cyndi N. Rodriguez, met with Richard D. Legon, President of the AGB to discuss the Commission's proposed rules for the registration of municipal advisors.