

## MEMORANDUM

TO: File No. S7-45-10

FROM: Yue Ding

DATE: December 2, 2011

RE: Meeting with Representatives of the National Association of Energy Service Companies (“NAESCO”)

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On December 1, 2011, Jennifer McHugh, Senior Advisor to the Chairman, and Victoria Crane, Dave Sanchez, Daniel Gien, and Yue Ding from the Division of Trading and Markets met with representatives of NAESCO. The NAESCO representatives were:

- Jim Dixon, Vice President, Legal and Compliance Services of ConEdison Solutions and Chairman of the Board of NAESCO;
- Donald Gilligan, President of NAESCO;
- Lynn Sutcliffe, CEO of The Energy Solve Companies, Board Member of NAESCO and Chairman of NAESCO’s Policy Advocacy Committee;
- Tomas Carbonell, Associate Attorney at Van Ness Feldman; and
- Robert Szabo, Member Attorney at Van Ness Feldman.

The representatives sought to discuss the Commission’s proposed rules on the registration of municipal advisors and, particularly, its application to engineers.