

## MEMORANDUM

TO: File No. S7-45-10

FROM: Yue Ding

DATE: June 28, 2011

RE: Meeting with Representatives from New York City Economic Development Corporation

---

On June 27, 2011, Victoria Crane, Dave Sanchez, John McWilliams, Ira Brandriss, Jennifer Dodd, Daniel Gien and Yue Ding from the Division of Trading and Markets met with representatives from New York City Economic Development Corporation (“NYCEDC”). The NYCEDC representatives were Arthur Cohen, Meredith Jones, and John McNally.

The representatives sought to discuss the Commission’s proposed rule on the registration of municipal advisors and, particularly, exceptions from the definition of municipal advisor.