

MEMORANDUM

TO: File No. S7-45-10

FROM: Yue Ding

DATE: June 9, 2011

RE: Meeting with Representatives from the Solar Energy Industries Association

On June 9, 2011, Mary Simpkins, Dave Sanchez, John McWilliams, Daniel Gien, and Yue Ding from the Division of Trading and Markets met with representatives from the Solar Energy Industries Association (“SEIA”). The SEIA representatives were Katherine Gensler, Senior Manager, Government Affairs, and Emily Duncan, Policy Specialist.

The representatives sought to discuss the Commission’s proposed rule on the registration of municipal advisors as applied to solar energy companies.