

## MEMORANDUM

TO: File No. S7 45 10

FROM: John L. McWilliams

DATE: May 13, 2011

SUBJECT: Meeting with Representatives of Office of the Comptroller of the Currency, the Federal Deposit Insurance Corporation and the Federal Reserve Board

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On May 12, 2011, Commission staff from the Chairman's office and the Division of Trading and Markets met with representatives of the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Federal Reserve Board (FRB). OCC participants were David Barfeld, Judy Foster, Casey Scott and Suzette Greco. FDIC participants were Julie Paris and Anthony J. DiMilo. FRB was represented by Brad Beytien and Scott Holz, attending by phone.

The participants from the Commission staff were James Brigagliano, Martha Haines, Tory Crane, Jennifer McHugh, Leila Bham, Mary Simpkins, Linda Sundberg, Ira Brandriss, Jennifer Dodd, Yue Ding, Dave Sanchez and Jack McWilliams.

The discussion concerned the Commission's proposed rule on the registration of municipal advisors and, particularly, the application of the proposed rule to banks and traditional banking activities.